## Multivalued Dependencies

The multivalued dependency  $X \to Y$  holds in a relation R if whenever we have two tuples of Rthat agree in all the attributes of X, then we can swap their Y components and get two new tuples that are also in R.



Drinkers(name, addr, phones, beersLiked) with MVD name  $\rightarrow \rightarrow$  phones. If Drinkers has the two tuples:

name	$\operatorname{addr}$	phones	beersLiked
sue	a	p1	<i>b</i> 1
sue	a	p2	b2

it must also have the same tuples with phones components swapped:

name	addr	phones	beersLiked
sue sue	$egin{array}{c} a \ a \end{array}$	$\begin{array}{c} p1\\ p2 \end{array}$	b2 b1

• Note: we must check this condition for *all* pairs of tuples that agree on **name**, not just one pair.

### **MVD** Rules

- 1. Every FD is an MVD.
  - Because if  $X \to Y$ , then swapping Y's between tuples that agree on X doesn't create new tuples.
  - $\clubsuit \quad \text{Example, in Drinkers: name} \rightarrow \texttt{addr.}$
- 2. Complementation: if  $X \to Y$ , then  $X \to Z$ , where Z is all attributes not in X or Y.

# Splitting Doesn't Hold

Sometimes you need to have several attributes on the right of an MVD. For example:

Drinkers(name, areaCode, phones, beersLiked, beerManf)

name	areaCode	phones	BeersLiked	beerManf
Sue	650	555-1111	Bud	A.B.
Sue	650	555 - 1111	WickedAle	Pete's
Sue	415	555 - 9999	Bud	A.B.
Sue	415	555 - 9999	WickedAle	Pete's

• name  $\rightarrow \rightarrow$  areaCode phones holds, but neither name  $\rightarrow \rightarrow$  areaCode nor name  $\rightarrow \rightarrow$  phones do.

# 4NF

Eliminate redundancy due to multiplicative effect of MVD's.

- Roughly: treat MVD's as FD's for decomposition, but not for finding keys.
- Formally: R is in Fourth Normal Form if whenever MVD  $X \rightarrow Y$  is *nontrivial* (Yis not a subset of X, and  $X \cup Y$  is not all attributes), then X is a superkey.



- Remember,  $X \to Y$  implies  $X \to Y$ , so 4NF is more stringent than BCNF.
- Decompose R, using 4NF violation  $X \to Y$ , into XY and  $X \cup (R - Y)$ .



Drinkers(name, addr, phones, beersLiked)

- $FD: name \rightarrow addr$
- Nontrivial MVD's: name  $\rightarrow \rightarrow$  phones and name  $\rightarrow \rightarrow$  beersLiked.
- Only key: {name, phones, beersLiked}
- All three dependencies above violate 4NF.
- Successive decomposition yields 4NF relations:

D1(<u>name</u>, addr) D2(<u>name</u>, p<u>hones</u>) D3(<u>name</u>, <u>beersLiked</u>)

# Relational Algebra

A small set of operators that allow us to manipulate relations in limited but useful ways. The operators are:

- 1. Union, intersection, and difference: the usual set operators.
  - But the relation schemas must be the same.
- 2. Selection: Picking certain rows from a relation.
- 3. *Projection*: Picking certain columns.
- 4. *Products and joins*: Composing relations in useful ways.
- 5. *Renaming* of relations and their attributes.

#### Selection

$$R_1 = \sigma_C(R_2)$$

where C is a condition involving the attributes of relation  $R_2$ .

#### Example

Relation Sells:

bar	beer	price
Joe's	Bud	2.50
Joe's	Miller	2.75
Sue's	Bud	2.50
Sue's	Coors	3.00

JoeMenu =  $\sigma_{bar=Joe's}$  (Sells)

bar	beer	price
Joe's Joe's	Bud Miller	$\begin{array}{c} 2.50 \\ 2.75 \end{array}$

Projection

$$R_1 = \pi_L(R_2)$$

where L is a list of attributes from the schema of  $R_2$ .

#### Example

 $\pi_{beer, price}$  (Sells)

beer	price
Bud Miller Coors	$2.50 \\ 2.75 \\ 3.00$

• Notice elimination of duplicate tuples.

#### Product

$$R = R_1 \times R_2$$

pairs each tuple  $t_1$  of  $R_1$  with each tuple  $t_2$  of  $R_2$ and puts in R a tuple  $t_1t_2$ .

#### Theta-Join

$$R = R_1 \overset{\bowtie}{_C} R_2$$

is equivalent to  $R = \sigma_C(R_1 \times R_2)$ .

#### Sells =

bar	beer	price
Joe's Joe's Sue's Sue's	Bud Miller Bud Coors	$2.50 \\ 2.75 \\ 2.50 \\ 3.00$

Bars =

name	addr
Joe's	Maple St.
Sue's	River Rd.

BarInfo = Sells  $\underset{Sells.Bar=Bars.Name}{\bowtie}$  Bars

bar	beer	price	name	addr
Joe's	Bud	$2.50 \\ 2.75 \\ 2.50 \\ 3.00$	Joe's	Maple St.
Joe's	Miller		Joe's	Maple St.
Sue's	Bud		Sue's	River Rd.
Sue's	Coors		Sue's	River Rd.

#### Natural Join

 $R = R_1 \bowtie R_2$ 

calls for the theta-join of  $R_1$  and  $R_2$  with the condition that all attributes of the same name be equated. Then, one column for each pair of equated attributes is projected out.

### Example

Suppose the attribute name in relation Bars was changed to bar, to match the bar name in Sells.

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bar	beer	price	addr
Joe's	Bud	$2.50 \\ 2.75 \\ 2.50 \\ 3.00$	Maple St.
Joe's	Miller		Maple St.
Sue's	Bud		River Rd.
Sue's	Coors		River Rd.

### Renaming

 $\rho_{S(A_1,\ldots,A_n)}(R)$  produces a relation identical to R but named S and with attributes, in order, named  $A_1,\ldots,A_n$ .

### Example

Bars =

	name	$\operatorname{addr}$	
	Joe's Sue's	Maple St. River Rd.	
$\rho_{R(bar,addr)}(\texttt{Bars}) =$			
	bar	addr	
	Joe's Sue's	Maple St. River Rd.	

• The name of the above relation is R.

# **Combining Operations**

Algebra =

- 1. Basis arguments +
- 2. Ways of constructing expressions.

For relational algebra:

- 1. Arguments = variables standing for relations + finite, constant relations.
- 2. Expressions constructed by applying one of the operators + parentheses.
- Query = expression of relational algebra.

# **Operator Precedence**

The normal way to group operators is:

- 1. Unary operators  $\sigma$ ,  $\pi$ , and  $\rho$  have highest precedence.
- 2. Next highest are the "multiplicative" operators,  $\bowtie$ ,  $\stackrel{\bowtie}{}_{C}$ , and  $\times$ .
- 3. Lowest are the "additive" operators,  $\cup$ ,  $\cap$ , and -.
- But there is no universal agreement, so we always put parentheses *around* the argument of a unary operator, and it is a good idea to group all binary operators with parentheses *enclosing* their arguments.

## Example

Group  $R \cup \sigma S \bowtie T$  as  $R \cup (\sigma(S) \bowtie T)$ .

### Each Expression Needs a Schema

- If  $\cup$ ,  $\cap$ , applied, schemas are the same, so use this schema.
- Projection: use the attributes listed in the projection.
- Selection: no change in schema.
- Product  $R \times S$ : use attributes of R and S.
  - But if they share an attribute A, prefix it with the relation name, as R.A, S.A.
- Theta-join: same as product.
- Natural join: use attributes from each relation; common attributes are merged anyway.
- Renaming: whatever it says.

Find the bars that are either on Maple Street or sell Bud for less than \$3.



Find the bars that sell two different beers at the same price.



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## **Linear Notation for Expressions**

- Invent new names for intermediate relations, and assign them values that are algebraic expressions.
- Renaming of attributes implicit in schema of new relation.

### Example

Find the bars that are either on Maple Street or sell Bud for less than \$3.

```
Sells(bar, beer, price)
Bars(name, addr)
R1(name) := \pi_{name}(\sigma_{addr=Maple St.}(Bars))
R2(name) :=
\pi_{bar}(\sigma_{beer=Bud AND price<\$3}(Sells))
R3(name) := R1 U R2
```

## Why Decomposition "Works"?

What does it mean to "work"? Why can't we just tear sets of attributes apart as we like?

- Answer: the decomposed relations need to represent the same information as the original.
  - We must be able to reconstruct the original from the decomposed relations.

# Projection and Join Connect the Original and Decomposed Relations

• Suppose R is decomposed into S and T. We project R onto S and onto T.

R =

name	addr	beersLiked	$\operatorname{manf}$	favoriteBeer
Janeway	Voyager	Bud	A.B.	WickedAle
Janeway	Voyager	WickedAle	Pete's	WickedAle
Spock	Enterprise	Bud	A.B.	Bud

• Recall we decomposed this relation as:



 Project onto Drinkers1(<u>name</u>, addr, favoriteBeer):

name	addr	favoriteBeer
Janeway	Voyager	WickedAle
Spock	Enterprise	Bud

• Project onto Drinkers3(<u>beersLiked</u>, manf):

beersLiked	$\operatorname{manf}$	
Bud	A.B.	
WickedAle	Pete's	

• Project onto Drinkers4(<u>name</u>, <u>beersLiked</u>):

name	beersLiked
Janeway	Bud
Janeway	WickedAle
Spock	Bud

# **Reconstruction of Original**

Can we figure out the original relation from the decomposed relations?

• Sometimes, if we natural join the relations.

## Example

Drinkers3  $\bowtie$  Drinkers4 =

name	beersLiked	$\operatorname{manf}$
Janeway	Bud	A.B.
Janeway	WickedAle	Pete's
Spock	Bud	A.B.

• Join of above with Drinkers1 = original R.

### Theorem

Suppose we decompose a relation with schema XYZ into XY and XZ and project the relation for XYZ onto XY and XZ. Then  $XY \bowtie XZ$  is guaranteed to reconstruct XYZ if and only if  $X \longrightarrow Y$  (or equivalently,  $X \longrightarrow Z$ .

- Usually, the MVD is really a FD,  $X \to Y$  or  $X \to Z$ .
- BCNF: When we decompose XYZ into XYand XZ, it is because there is a FD  $X \to Y$  or  $X \to Z$  that violates BCNF.
- 4NF: when we decompose XYZ into XY and XZ, it is because there is an MVD  $X \rightarrow Y$  or  $X \rightarrow Z$  that violates 4NF.
  - Again, we can reconstruct XYZ from its projections onto XY and XZ.