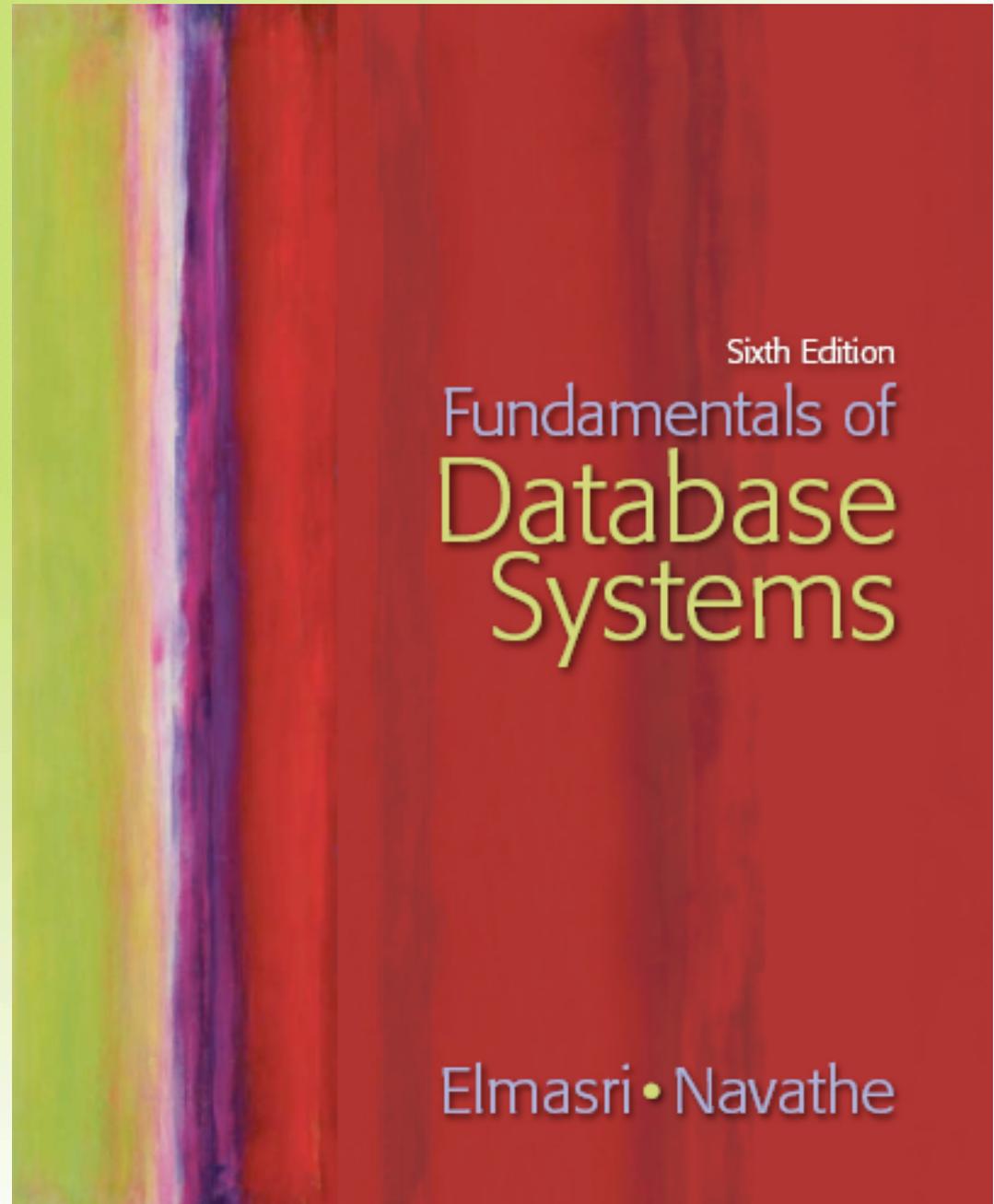


# Chapter 8

## The Enhanced Entity- Relationship (EER) Model



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# Chapter 8 Outline

- Subclasses, Superclasses, and Inheritance
- Specialization and Generalization
- Constraints and Characteristics of Specialization and Generalization Hierarchies
- Modeling of UNION Types Using Categories

# Chapter 8 Outline (cont'd.)

- A Sample UNIVERSITY EER Schema, Design Choices, and Formal Definitions
- Example of Other Notation: Representing Specialization and Generalization in UML Class Diagrams
- Data Abstraction, Knowledge Representation, and Ontology Concepts

# The Enhanced Entity-Relationship (EER) Model

- **Enhanced ER (EER) model**
  - Created to design more accurate database schemas
    - Reflect the data properties and constraints more precisely
  - More complex requirements than traditional applications

# Subclasses, Superclasses, and Inheritance

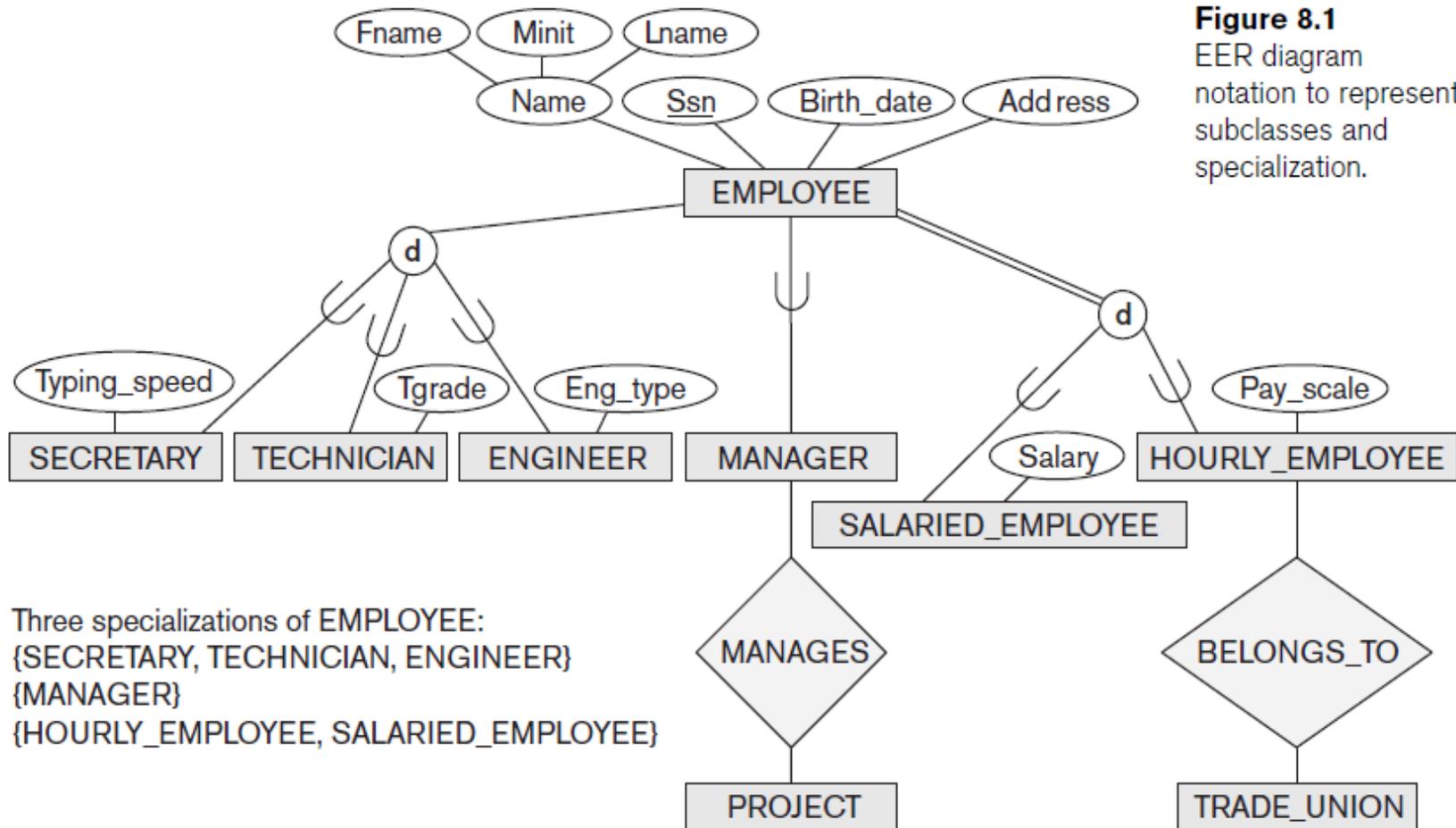
- EER model includes all modeling concepts of the ER model
- In addition, EER includes:
  - **Subclasses and superclasses**
  - **Specialization and generalization**
  - **Category or union type**
  - **Attribute and relationship inheritance**

# Subclasses, Superclasses, and Inheritance (cont'd.)

- **Enhanced ER or EER diagrams**
  - Diagrammatic technique for displaying these concepts in an EER schema
- **Subtype or subclass** of an entity type
  - Subgroupings of entities that are meaningful
  - Represented explicitly because of their significance to the database application

# Subclasses, Superclasses, and Inheritance (cont'd.)

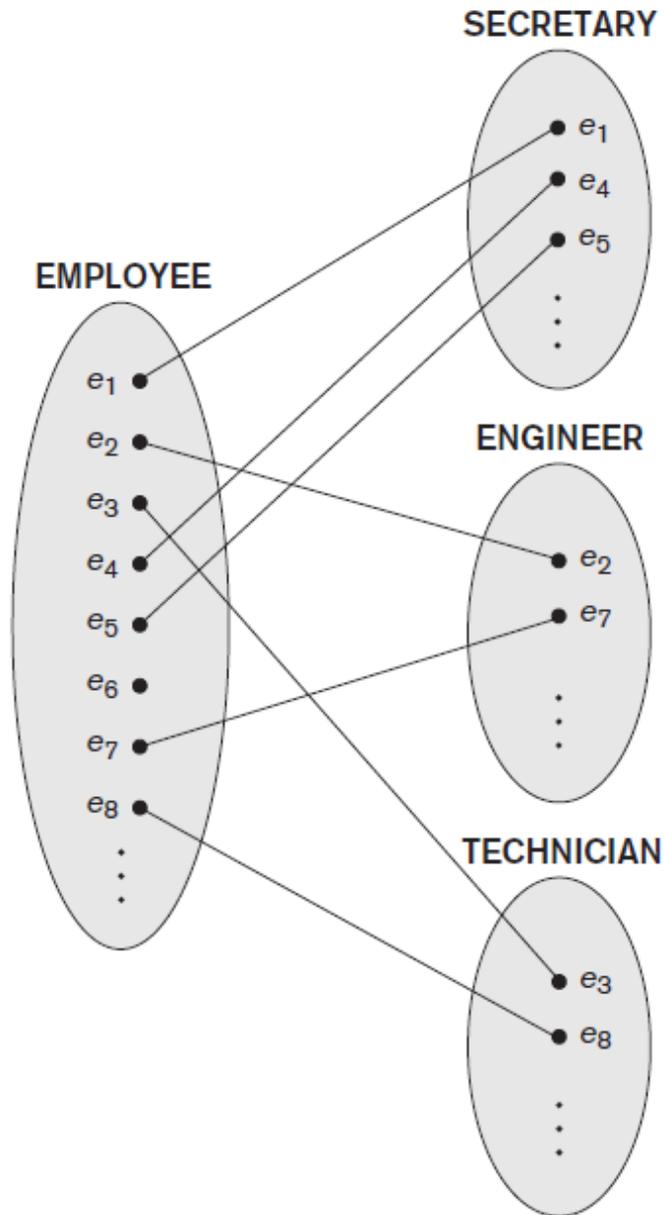
- Terms for relationship between a superclass and any one of its subclasses
  - **Superclass/subclass**
  - **Supertype/subtype**
  - **Class/subclass** relationship
- **Type inheritance**
  - Subclass entity inherits all attributes and relationships of superclass



**Figure 8.1**  
 EER diagram notation to represent subclasses and specialization.

# Specialization and Generalization

- **Specialization**
  - Process of defining a set of subclasses of an entity type
  - Defined on the basis of some distinguishing characteristic of the entities in the superclass
- Subclass can define:
  - **Specific attributes**
  - **Specific relationship types**



**Figure 8.2**  
Instances of a specialization.

# Specialization and Generalization (cont'd.)

- Certain attributes may apply to some but not all entities of the superclass
- Some relationship types may be participated in only by members of the subclass

# Generalization

- Reverse process of abstraction
- **Generalize** into a single **superclass**
  - Original entity types are special subclasses
- **Generalization**
  - Process of defining a generalized entity type from the given entity types

# Constraints and Characteristics of Specialization and Generalization Hierarchies

- Constraints that apply to a single specialization or a single generalization
- Differences between specialization/generalization lattices and hierarchies



# Constraints on Specialization and Generalization

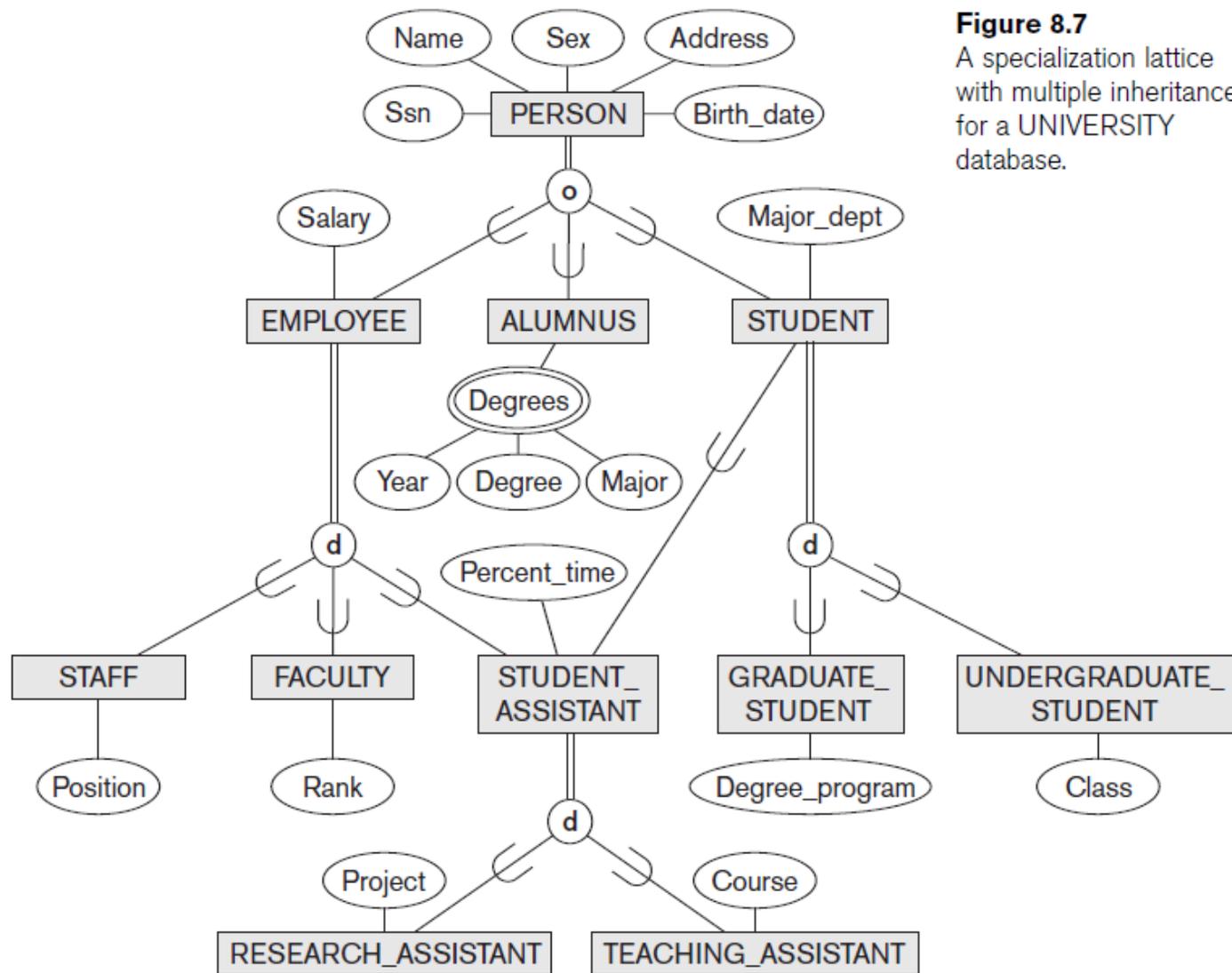
- May be several or one subclass
- Determine entity subtype:
  - **Predicate-defined (or condition-defined) subclasses**
  - **Attribute-defined specialization**
  - **User-defined**

# Constraints on Specialization and Generalization (cont'd.)

- **Disjointness constraint**
  - Specifies that the subclasses of the specialization must be disjoint
- **Completeness (or totalness) constraint**
  - May be **total** or **partial**
- Disjointness and completeness constraints are independent

# Specialization and Generalization Hierarchies and Lattices

- **Specialization hierarchy**
  - Every subclass participates as a subclass in only one class/subclass relationship
  - Results in a **tree structure** or **strict hierarchy**
- **Specialization lattice**
  - Subclass can be a subclass in more than one class/subclass relationship



**Figure 8.7**  
A specialization lattice  
with multiple inheritance  
for a UNIVERSITY  
database.

# Specialization and Generalization Hierarchies and Lattices (cont'd.)

## ■ Multiple inheritance

- Subclass with more than one superclass
- If attribute (or relationship) originating in the same superclass inherited more than once via different paths in lattice
  - Included only once in shared subclass

## ■ Single inheritance

- Some models and languages limited to single inheritance

# Utilizing Specialization and Generalization in Refining Conceptual Schemas

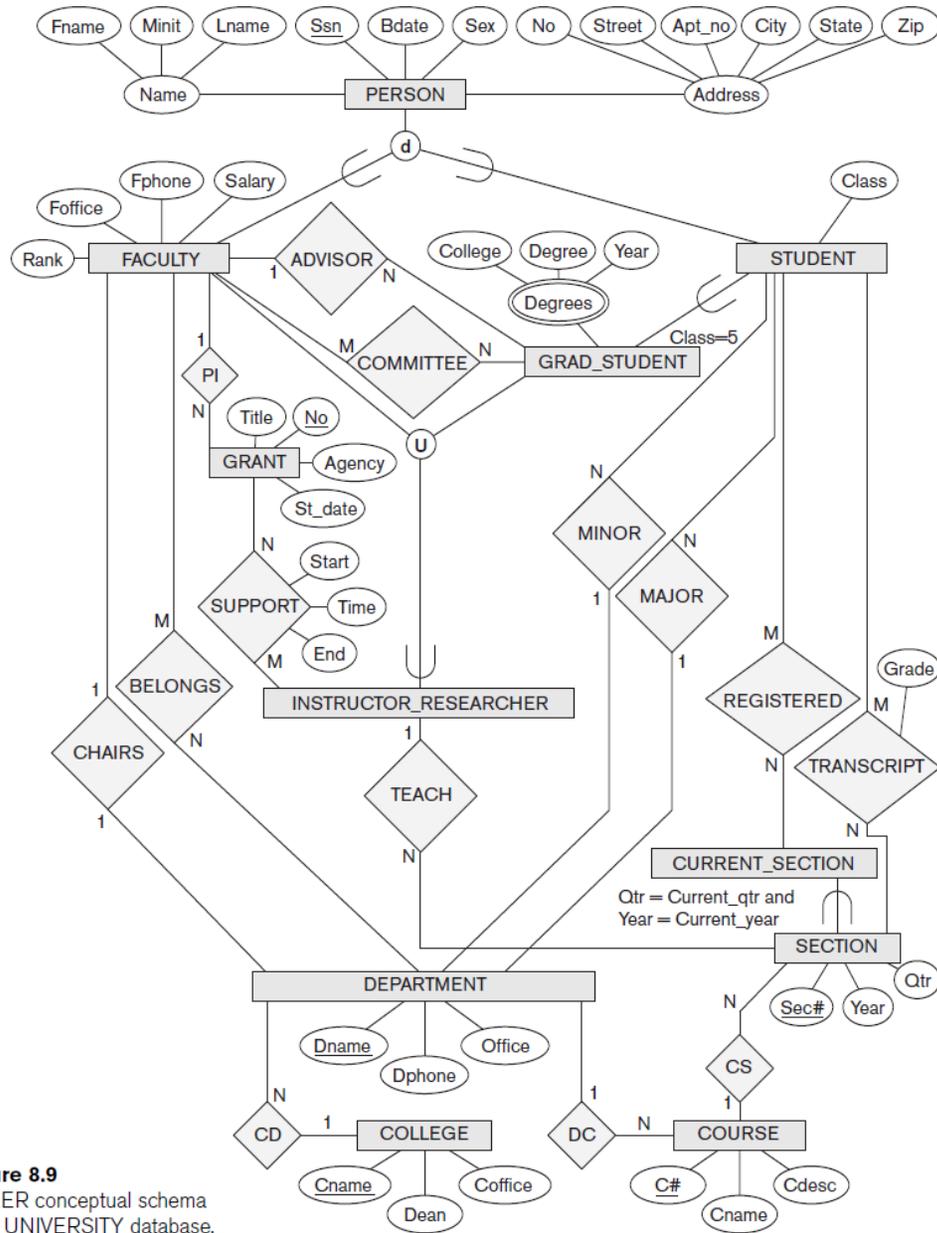
- Specialization process
  - Start with entity type then define subclasses by successive specialization
  - **Top-down conceptual refinement process**
- **Bottom-up conceptual synthesis**
  - Involves generalization rather than specialization

# Modeling of UNION Types Using Categories

- **Union type** or a **category**
- Represents a single superclass/subclass relationship with more than one superclass
- Subclass represents a collection of objects that is a subset of the UNION of distinct entity types
- Attribute inheritance works more selectively
- Category can be **total** or **partial**
- Some modeling methodologies do not have union types

# A Sample UNIVERSITY EER Schema, Design Choices, and Formal Definitions

- The UNIVERSITY Database Example
  - UNIVERSITY database
    - Students and their majors
    - Transcripts, and registration
    - University's course offerings



**Figure 8.9**  
An EER conceptual schema  
for a UNIVERSITY database.

# Design Choices for Specialization/Generalization

- Many specializations and subclasses can be defined to make the conceptual model accurate
- If subclass has few specific attributes and no specific relationships
  - Can be merged into the superclass

# Design Choices for Specialization/Generalization (cont'd.)

- If all the subclasses of a specialization/generalization have few specific attributes and no specific relationships
  - Can be merged into the superclass
  - Replace with one or more type attributes that specify the subclass or subclasses that each entity belongs to

# Design Choices for Specialization/Generalization (cont'd.)

- Union types and categories should generally be avoided
- Choice of disjoint/overlapping and total/partial constraints on specialization/generalization
  - Driven by rules in miniworld being modeled

# Formal Definitions for the EER Model Concepts

- **Class**
  - Set or collection of entities
  - Includes any of the EER schema constructs of group entities
- **Subclass**
  - Class whose entities must always be a subset of the entities in another class
- **Specialization**
  - Set of subclasses that have same superclass

# Formal Definitions for the EER Model Concepts (cont'd.)

- **Generalization**

- Generalized entity type or superclass

- **Predicate-defined**

- Predicate on the attributes of  $C$  is used to specify which entities in  $C$  are members of  $S$

- **User-defined**

- Subclass that is not defined by a predicate

# Formal Definitions for the EER Model Concepts (cont'd.)

## ■ **Category**

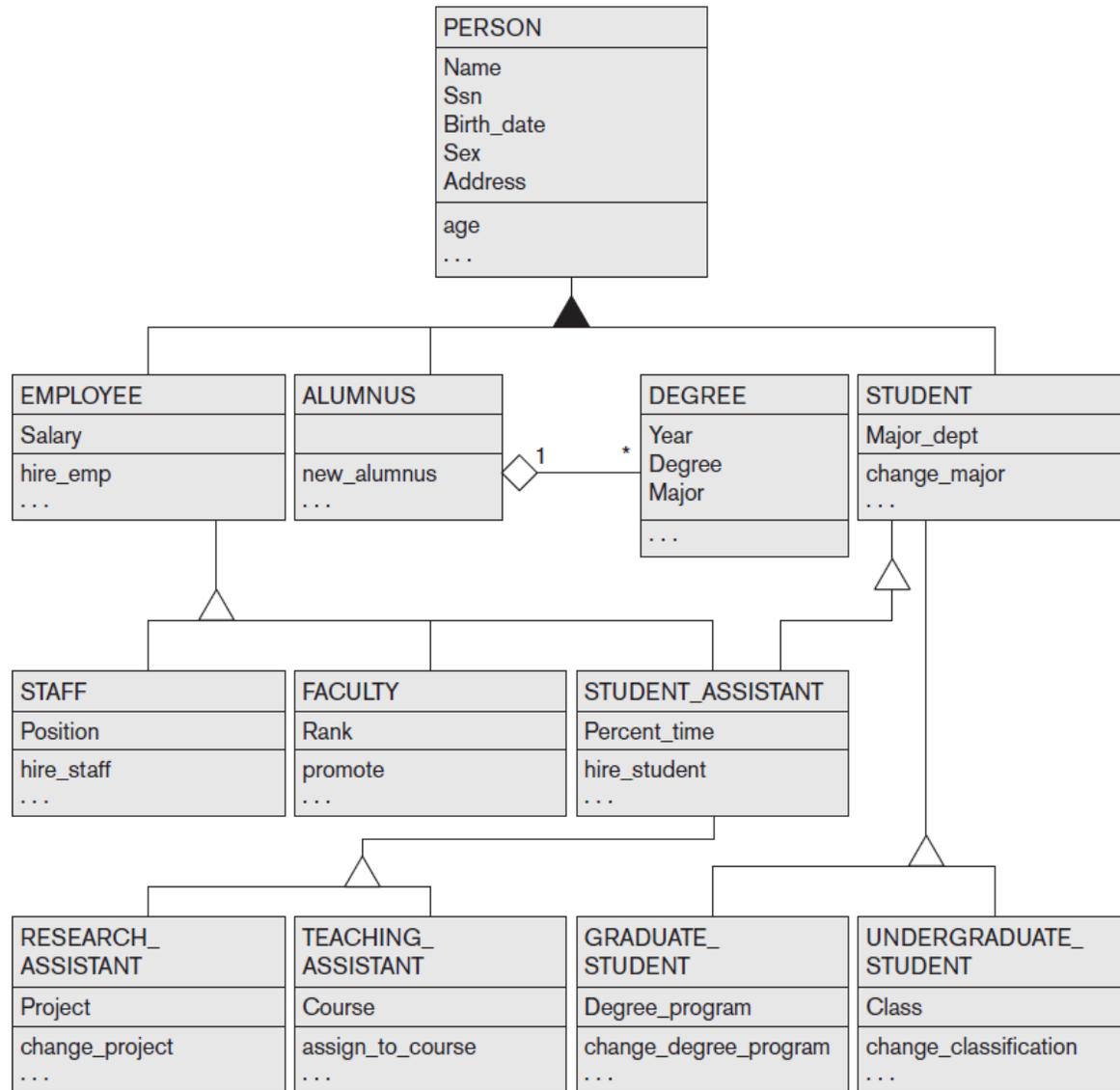
- Class that is a subset of the union of  $n$  defining superclasses

## ■ **Relationship type**

- Any class can participate in a relationship

# Example of Other Notation

- Representing specialization and generalization in UML class diagrams
  - Basic notation
    - See Figure 8.10
  - Base class
    - Root superclass
  - Leaf classes
    - Subclasses (leaf nodes)



**Figure 8.10**  
 A UML class diagram corresponding to the EER diagram in Figure 8.7,  
 illustrating UML notation for specialization/generalization.

# Data Abstraction, Knowledge Representation, and Ontology Concepts

- Goal of **knowledge representation (KR)** techniques
  - Accurately model some **domain of knowledge**
  - Create an **ontology** that describes the concepts of the domain and how these concepts are interrelated
- Goals of KR are similar to those of semantic data models
  - Important similarities and differences

# Classification and Instantiation

- **Classification**

- Systematically assigning similar objects/entities to object classes/entity types

- **Instantiation**

- Inverse of classification
- Generation and specific examination of distinct objects of a class

# Classification and Instantiation (cont'd.)

- **Exception objects**
  - Differ in some respects from other objects of class
  - KR schemes allow such **class properties**
- One class can be an instance of another class (called a meta-class)
  - Cannot be represented directly in EER model

# Identification

- Abstraction process
- Classes and objects are made uniquely identifiable by means of some **identifier**
- Needed at two levels
  - To distinguish among database objects and classes
  - To identify database objects and to relate them to their real-world counterparts

# Specialization and Generalization

- **Specialization**
  - Classify a class of objects into more specialized subclasses
- **Generalization**
  - Generalize several classes into a higher-level abstract class
  - Includes the objects in all these classes

# Aggregation and Association

- **Aggregation**

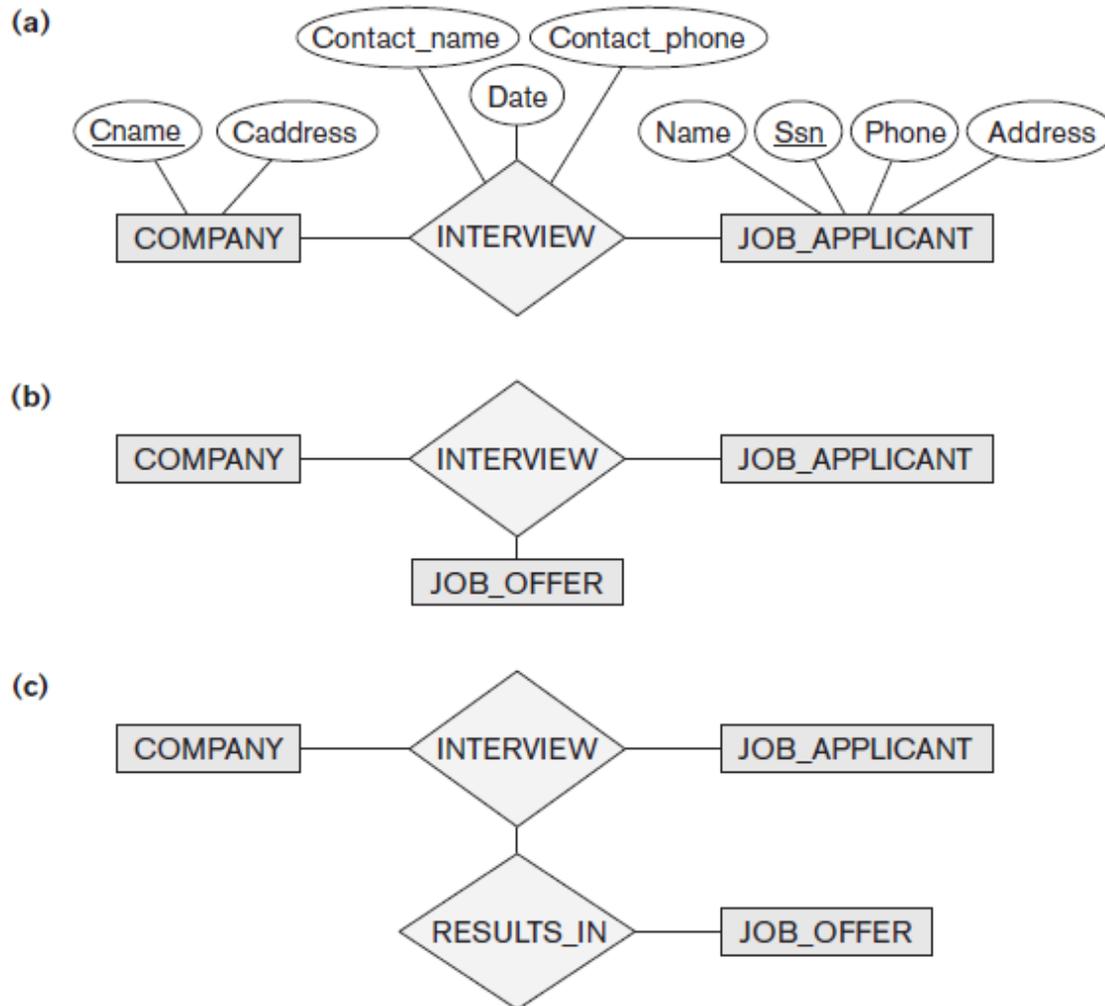
- Abstraction concept for building composite objects from their component objects

- **Association**

- Associate objects from several independent classes

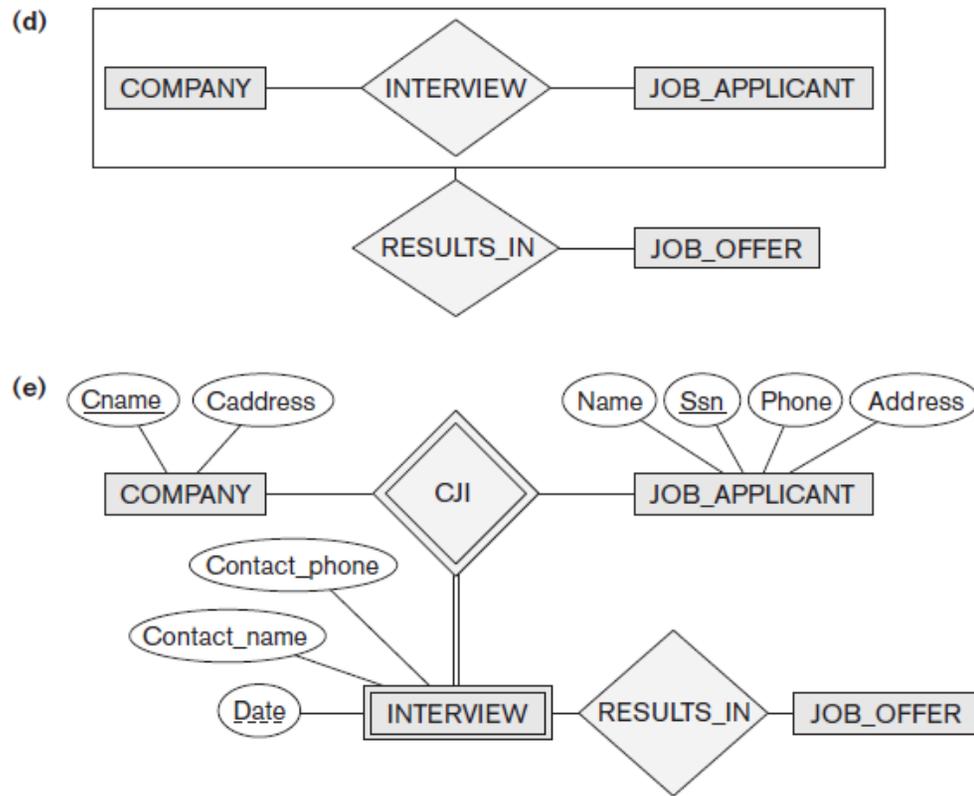
- **Main structural distinction**

- When an association instance is deleted
  - Participating objects may continue to exist



**Figure 8.11**

Aggregation. (a) The relationship type INTERVIEW. (b) Including JOB\_OFFER in a ternary relationship type (incorrect). (c) Having the RESULTS\_IN relationship participate in other relationships (not allowed in ER). (d) Using aggregation and a composite (molecular) object (generally not allowed in ER but allowed by some modeling tools). (e) Correct representation in ER.



**Figure 8.11**

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# Ontologies and the Semantic Web

- Documents contain less structure than database information does
- **Semantic Web**
  - Allow meaningful information exchange and search among machines
- **Ontology**
  - Specification of a **conceptualization**
- **Specification**
  - Language and vocabulary terms used to specify conceptualization

# Summary

- Enhanced ER or EER model
  - Extensions to ER model that improve its representational capabilities
  - Subclass and its superclass
  - Category or union type
- Notation and terminology of UML for representing specialization and generalization