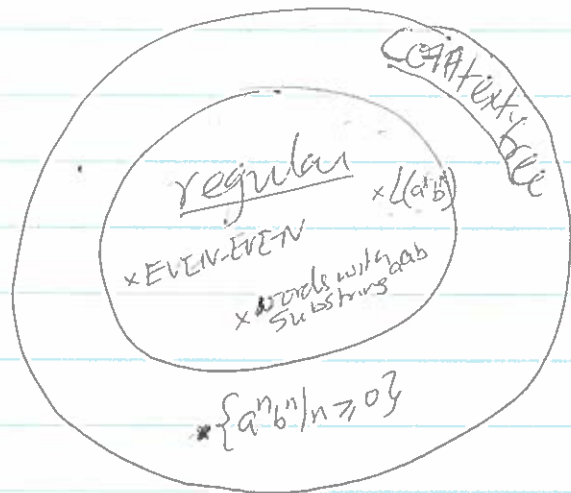


# Ch-3 Context-free languages



## 1. regular language

- regular expressions
- dfa
- nfa

## 2. Context-free language

- Context-free <sup>(CFG)</sup> gram
- pushdown automa (PDA)

def: A context free grammar  $G = (V, \Sigma, R, S)$

where  $V$  is an alphabet

$\Sigma \subseteq V$ , is set of terminals

$R$ : set of rules: finite subset of  $(V - \Sigma) \times V^*$

$S \in V$ , start symbol

$(A, u) \in R$  is written as  $A \xrightarrow{G} u$

members of  $V - \Sigma$  are called non-terminals

ex  $G$ :

$$V = \{S, A, B, M, a, b\}$$

$$V - \Sigma = \{S, A, B, M\}, \Sigma = \{a, b\}$$

$$R: S \rightarrow aMb$$

$$M \rightarrow A$$

$$M \rightarrow B$$

$$A \rightarrow aA$$

$$A \rightarrow \epsilon$$

$$B \rightarrow bB$$

$$B \rightarrow \epsilon$$

rewriting system

$$S \rightarrow aMb \Rightarrow aAb \Rightarrow aaAb \Rightarrow \dots \Rightarrow a^n a b$$

$G$  generates  $a^n b$

$$a(a^*ub^*)b$$

for any  $u, v \in V^*$ , we write

$$u \Rightarrow_G v \quad \text{iff}$$

there exists  $x, y, v' \in V^*$   
 $A \in V \rightarrow \Sigma$

Such that

$$u = xAy$$

$$v = xv'y$$

$$\text{and } A \xrightarrow{G} v'$$

$\Rightarrow_G^*$  = reflexive transitive closure of  $\Rightarrow_G$ .

$$L(G) = \{w \mid w \in \Sigma^* \text{ and } S \xrightarrow{G}^* w\}$$

A language  $L$  is context free if it is equal to  $L(G)$  for some cfg  $G$ .

$w_0 \Rightarrow w_1 \Rightarrow w_2 \Rightarrow \dots \Rightarrow w_n$  : derivation  
of  $w_n$  from  $w_0$ .  
 $n$  = length of derivation.

---

Ex 3.1.4  $G: S \rightarrow AA$   
 $A \rightarrow AAA$   
 $A \rightarrow a$   
 $A \rightarrow bA$   
 $A \rightarrow Ab$

Claim:  $L(G) = \{ w \mid w \in \{a,b\}^* \text{ and } w \text{ has even } \# \text{ a's } \}$   
 $\# \text{ a's } \equiv \text{ EVENAs}$

Proof:

1)  $L(G) \subseteq \text{EVENAs}$ .

We shall prove the following stronger fact:

if  $w \in V^*$  and  $S \xRightarrow{+} w$  then  $(\# A's + \# a's)$  in  $w$  is positive & even.

by induction on length,  $k$  of the derivation of  $w$  from  $S$ .

Basis Step:  $k=1$

$w$  can ~~be~~ only be  $AA$  since  $S \Rightarrow AA$  is the only derivation of length  $= 1$ .

clearly  $AA$  has  $(2+0)$   $A$ 's and  $a$ 's which is positive & even.

Induction Step:

let  $S \xRightarrow{+} w$  in  $k$  or ~~fewer~~ fewer steps then  $w$  has positive even  $\# A's + a's$   $\textcircled{IH}$

Consider  $S \xRightarrow{+} w$  in  $k+1$  steps

ie  $S \xRightarrow{+} w'$  in  $k$  steps

and  $w' \Rightarrow w$  in one step.

$w'$  has positive even  $\# A's, a's$ .

$w$  is derived from  $w'$  by application of one

rule. each rule either adds 2  $A$ 's or

$w$  has positive even  $\# A's, a's$ . ~~does not~~ replaces  $A$  by  $a$  or does not change  $\# A's$  or  $a's$ .

2) EVENTALS  $\subseteq L(G)$ .

Let  $w \in \text{EVENTALS}$ .

$$w = b \overset{m_1}{a} b \overset{m_2}{a} \dots b \overset{m_{2n}}{a} b \overset{m_{2n+1}}{a}$$

$\uparrow$  1st a       $\uparrow$  2nd a       $\uparrow$  (2n)th a

$m_i \geq 0$

derivation for  $w$ :

$S \Rightarrow AA$	apply $S \rightarrow AA$	once
$\overset{*}{\Rightarrow} A^{2n}$	$A \rightarrow AAA$	$n-1$ times
$\overset{*}{\Rightarrow} b \overset{m_1}{A}^{2n}$	$A \rightarrow bA$	$m_1$ times
$\left\{ \begin{array}{l} \Rightarrow b \overset{m_1}{a} A^{2n-1} \\ \Rightarrow b \overset{m_1}{a} b \overset{m_2}{A}^{2n-2} \\ \vdots \\ \Rightarrow b \overset{m_1}{a} b \overset{m_2}{a} A^{2n-2} \end{array} \right.$	$A \rightarrow a$	once.
$\left\{ \begin{array}{l} \Rightarrow b \overset{m_1}{a} b \overset{m_2}{a} A^{2n-1} \\ \Rightarrow b \overset{m_1}{a} b \overset{m_2}{a} b \overset{m_2}{a} A^{2n-2} \\ \vdots \\ \Rightarrow b \overset{m_1}{a} b \overset{m_2}{a} b \overset{m_2}{a} \dots b \overset{m_{2n}}{a} b \overset{m_{2n+1}}{a} \end{array} \right.$	$A \rightarrow bA$	$m_2$ times
	$A \rightarrow a$	once

$$\Rightarrow b \overset{m_1}{a} b \overset{m_2}{a} \dots b \overset{m_{2n}}{a} b \overset{m_{2n+1}}{a}$$

$\therefore w \in L(G)$ .

$$\Sigma = \{ (, ) \}$$

Ex G:

$$S \rightarrow \epsilon$$

$$S \rightarrow SS$$

$$S \rightarrow (S)$$

produces balanced parentheses

$$()() \in L(G)$$

$$S \Rightarrow SS \Rightarrow S(S) \Rightarrow S((S)) \Rightarrow (S)() \Rightarrow ()()$$

another derivation

$$S \Rightarrow SS \Rightarrow (S)S \Rightarrow ()S \Rightarrow ()(S) \Rightarrow ()((S)) \Rightarrow ()()()$$

Note  $L(G)$  is not regular because

$$L(G) \cap \{ ( )^* \}^* = \{ ( )^n \mid n \geq 0 \}$$

↑  
not regular.

ex Palindromes	Even Palindromes	Odd Palindromes
$S \rightarrow aSa$	$S \rightarrow aSa$	$S \rightarrow aSa$
$S \rightarrow bSb$	$S \rightarrow bSb$	$S \rightarrow bSb$
$S \rightarrow \epsilon$	$S \rightarrow \epsilon$	$S \rightarrow a$
$S \rightarrow a$		$S \rightarrow b$
$S \rightarrow b$		

ex EQUAL is a C.F.L.  
from Cohen

### 3.2 Regular Languages and CFLs

def A cfg  $G = (V, \Sigma, R, S)$  is regular  
 iff  $R \subseteq (V - \epsilon) \times \Sigma^* \cup \{(V - \epsilon) \cup \{\epsilon\}\}$

i.e. all rules are of the form  
 $A \rightarrow wB$  or  ~~$A \rightarrow w$~~   
 $A \rightarrow w$

where  $A, B$ : nonterminals  
 and  $w \in \Sigma^*$

ex  $G$ :  
 $S \rightarrow bA$   
 $S \rightarrow aB$   
 $A \rightarrow abA S$   
 $B \rightarrow bab S$   
 $S \rightarrow \epsilon$

is regular.

$$L(G) = L((abab \cup baba)^*) !!$$

~~Star~~ Th 3.2.1 A language is regular iff it  
 can be ~~is~~ generated by a regular  
 grammar:

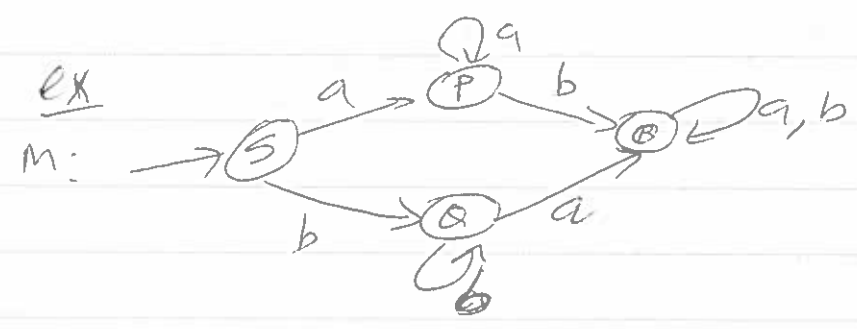
Proof: (sketch)

only if part let  $L$  be regular  
so there is a dfa  $M = (K, \Sigma, \delta, s, F)$   
 $S \vdash L = L(M)$

equivalent regular grammar :

$$V = \Sigma \cup K, S = s,$$
$$R = \{ q \rightarrow ap \mid \delta(q, a) = p \} \cup \{ q \rightarrow \epsilon \mid q \in F \}$$

assuming  $\Sigma \cap K = \emptyset$ .



- CFG:
- $S \rightarrow aP$
  - $S \rightarrow bQ$
  - $P \rightarrow aP$
  - $P \rightarrow bR$
  - $Q \rightarrow bQ$
  - $Q \rightarrow aR$
  - $R \rightarrow aR$
  - $R \rightarrow bR$
  - $R \rightarrow \epsilon$

~~$L(G) = L(M)$~~   $L(G) = L(M)$  ✓

IF part Let  $G = (V, \Sigma, R, S)$  be a ~~CFG~~ regular grammar

Construct equivalent nfa as follows

$M = K = (V - \Sigma) \cup \{f\}$        $f$ : new state

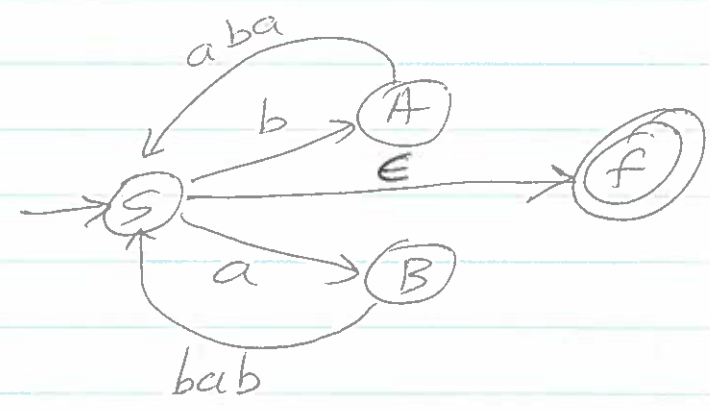
$q = S$

$F = \{f\}$

$\delta = \{ (A, w, B) \mid A \rightarrow wB \in R \} \cup \{ (A, w, f) \mid A \rightarrow w \in R \}$

$L(M) = L(G)$  ✓

ex  $G$ :  
 $S \rightarrow bA$   
 $S \rightarrow aB$   
 $A \rightarrow abAS$   
 $B \rightarrow babS$   
 $S \rightarrow \epsilon$





### 3.3 Pushdown Automata (PDA)

Def: A PDA  $M$  consists of six parts  
 $(K, \Sigma, \Gamma, \Delta, s, F)$  where

$K$  = finite set of states

$\Sigma$  = alphabet of input symbols

$\Gamma$  = alphabet of stack symbols

$s \in K$  = initial state

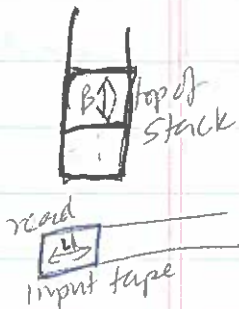
$F \subseteq K$  = set of final states

$\Delta$  : transition relation — finite subset of  
 $(K \times \Sigma^* \times \Gamma^*) \times (K \times \Gamma^*)$

non-deterministic

$((p, u, \beta), (q, \gamma)) \in \Delta$  means that  $M$

in state  $p$  ~~reads~~ with  $\beta$  on top of stack  
reads input  $u$ , replaces  $\beta$  by  $\gamma$  on stack  
 and goes to state  $q$ .



Note : nondeterministic machine

$((p, u, \epsilon), (q, a))$  pushes 'a' on stack; read  $u$   
 $((p, u, a), (q, \epsilon))$  pops 'a' from stack; read  $u$ .

Configuration : element of  $K \times \Sigma^* \times \Gamma^*$

$(q, w, \alpha)$  : in state  $q$ .  
 remaining input :  $w$   
 stack (read top-down) =  $\alpha$

ex  $(q, w, abc)$   
           ↑  
           top

yields in one step

define:  $(P, ux, \beta\alpha) \xrightarrow{M} (Q, x, \gamma\alpha)$

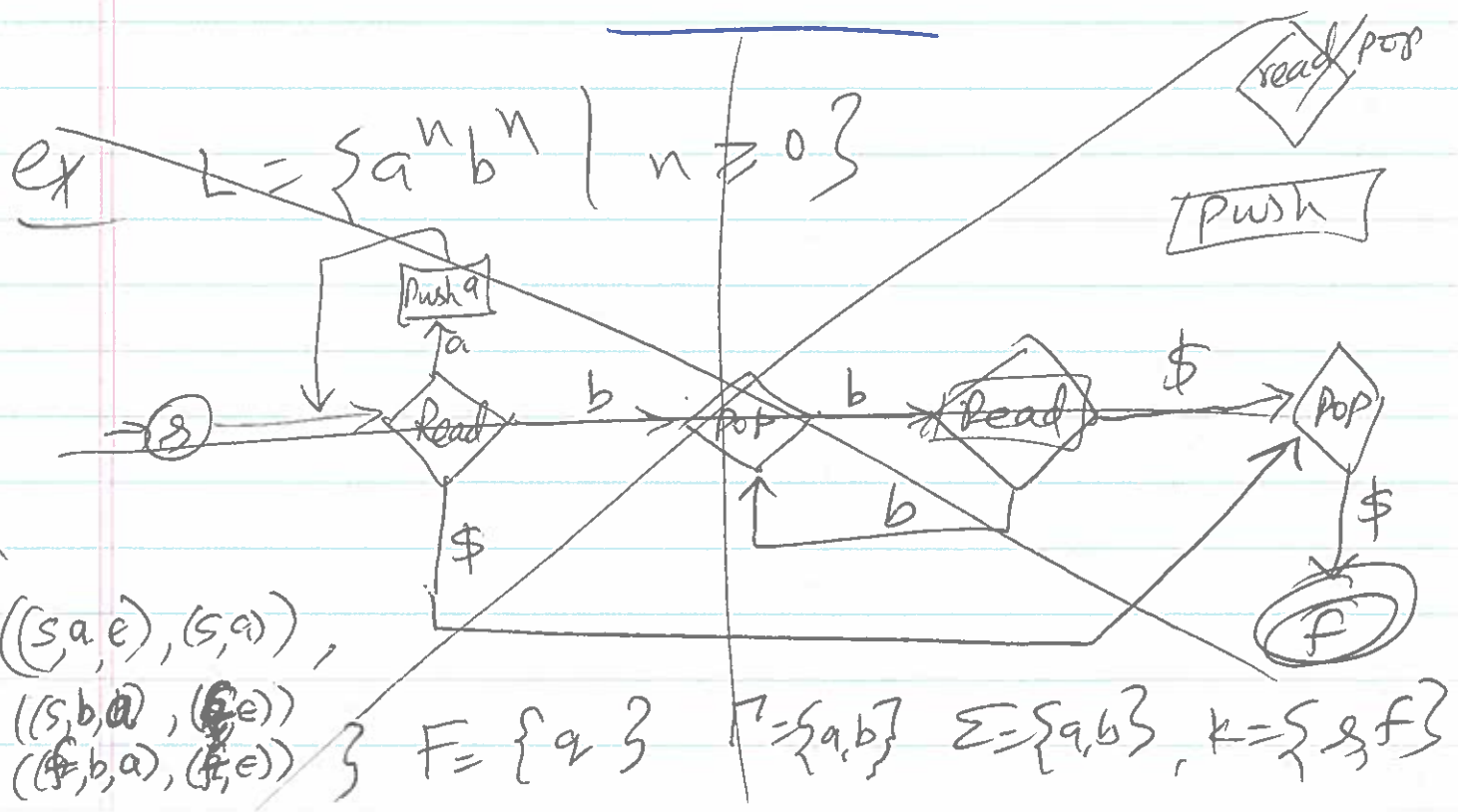
iff  $((P, u, \beta), (Q, \gamma)) \in \Delta$

define  $\xrightarrow{M^*}$  as the ref. to closure of  $\xrightarrow{M}$ .

def  $M$  accepts  $w \in \Sigma^*$  iff

$(S, w, \epsilon) \xrightarrow{M^*} (P, \epsilon, \epsilon)$  for some  $P \in F$ .  
 (Note:  $\epsilon$  is annotated as "empty stack" in the original image)

def  $L(M) = \{ w \mid w \in \Sigma^* \text{ and } M \text{ accepts } w \}$



Note Every f.a. can be viewed as a PDA that never operates on its stack.

Let  $M = (K, \Sigma, \Delta, s, F)$  be a f.a.

Construct  $M' = (K, \Sigma, \Delta', s, F)$  PDA

$$\Delta' = \{ (p, u, e), (q, e) \mid (p, u, q) \in \Delta \}$$

Ex  $L = \{ w c w^R \mid w \in \{a, b\}^* \}$

$M = (K, \Sigma, \Delta, s, F)$  ;  $K = \{s, f\}$

$\Sigma = \{a, b, c\}$

$\Gamma = \{a, b\}$

$F = \{f\}$

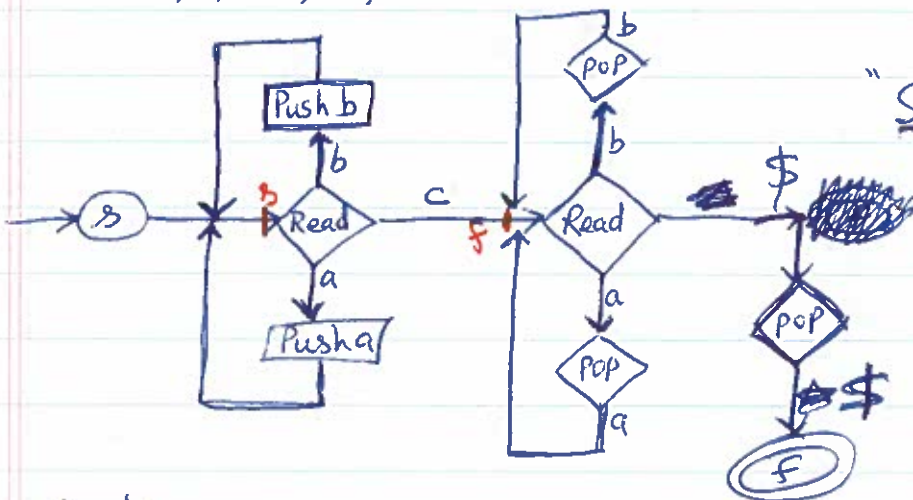
$\Delta =$

$\{ ((s, a, \epsilon), (s, a)), ((s, b, \epsilon), (s, b)) \}$  ← read and push a, b

$((s, c, \epsilon), (f, \epsilon))$  ← read c and go to f.

$((f, a, a), (f, \epsilon))$  ← read, pop same letter.

$((f, b, b), (f, \epsilon))$  ←

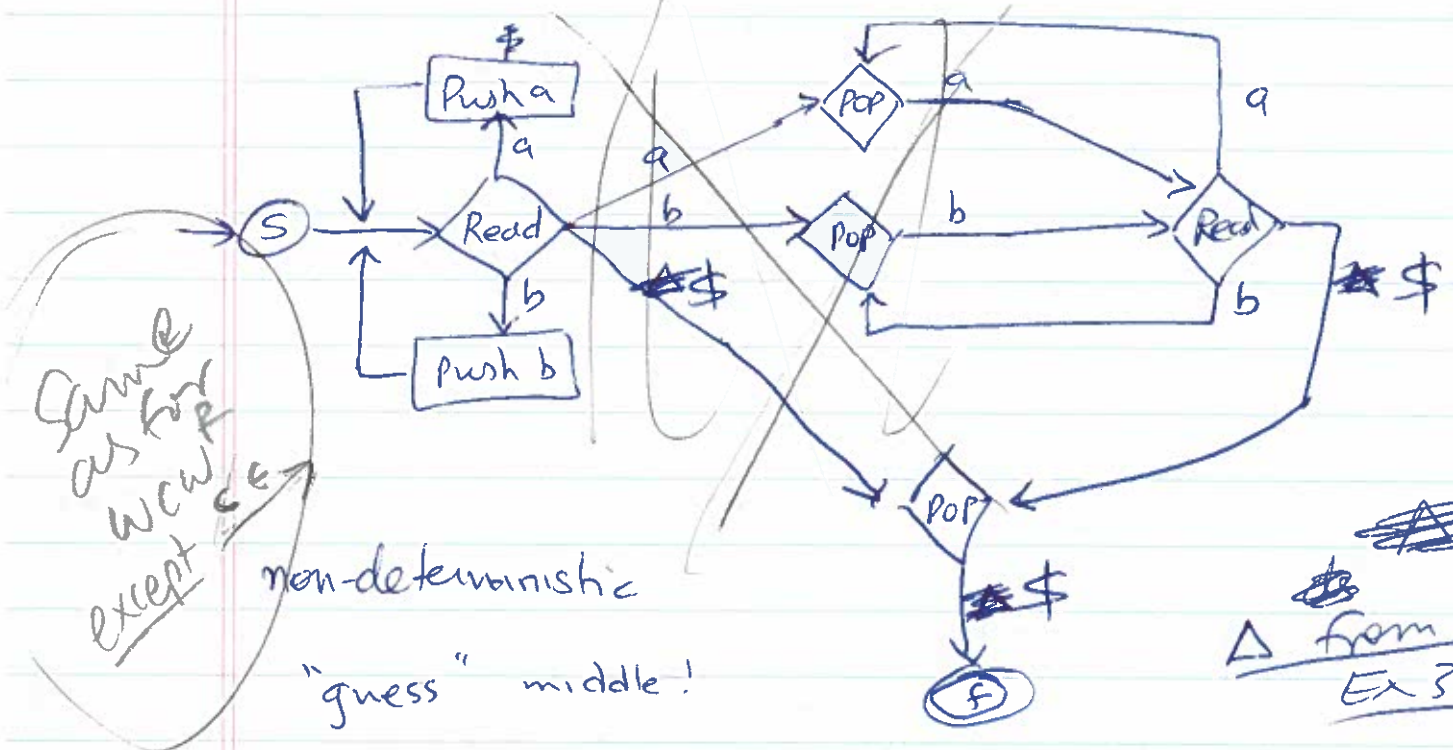


$\$$  : Symbol after last position input or Symbol below bottom of stack

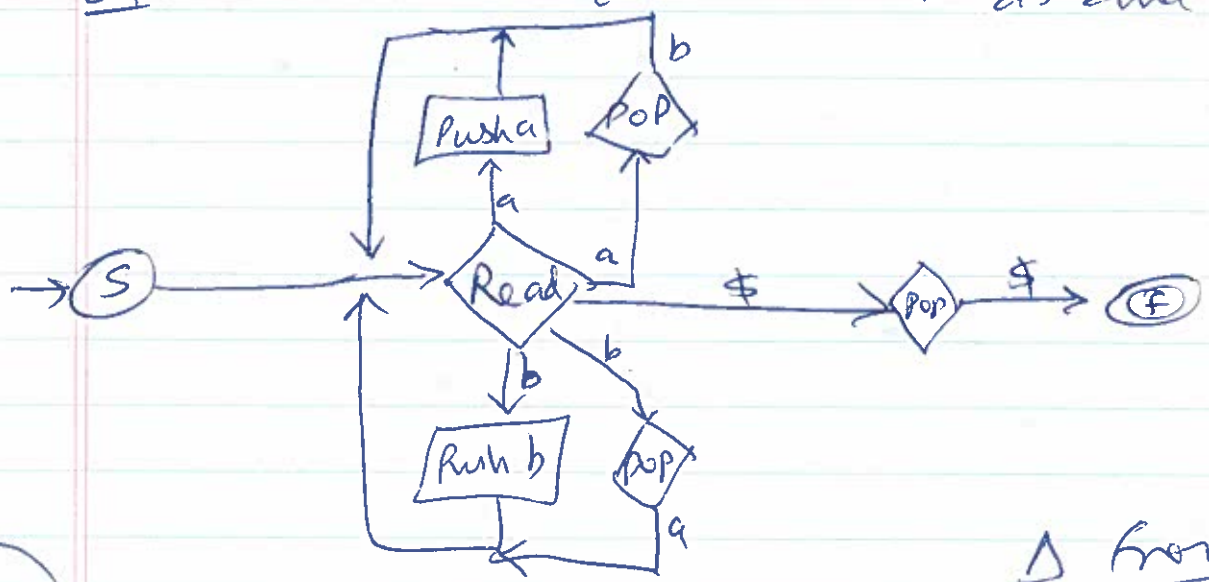
try abcba

Ex  $L = \{ww^R \mid w \in \{a,b\}^*\}$

EVEN PALINDROME



Ex EQUAL  $= \{w \in \{a,b\}^* \mid w \text{ has equal \# a's and b's}\}$



P493  
Cohen  
111

from P109  
Ex 3.3.4

### 3.4 PDA and CFGs

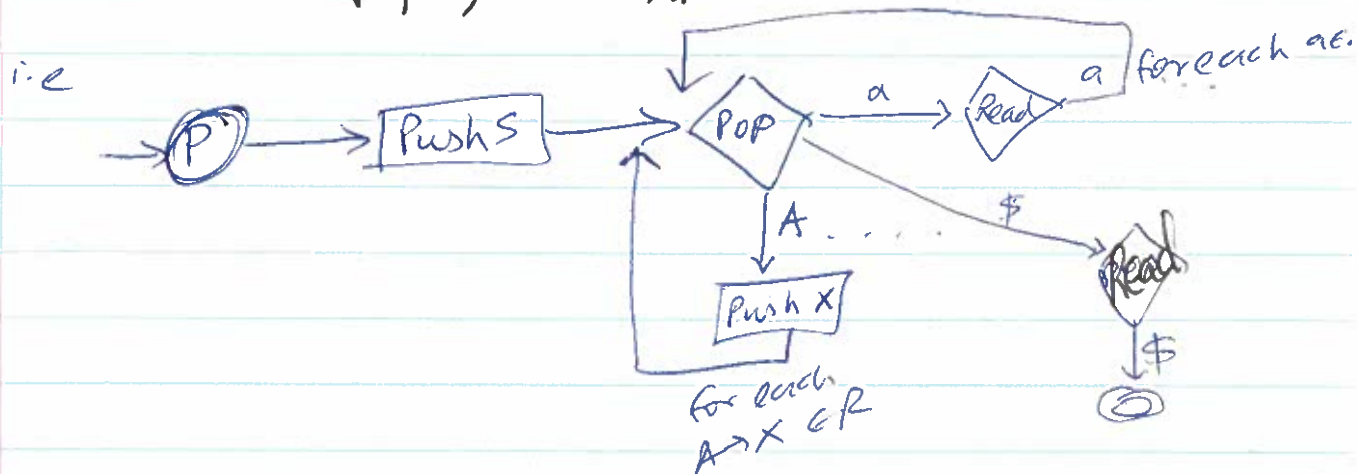
Lemma 3.43 Every CFL is accepted by some PDA.

Proof Let  $G = (V, \Sigma, R, S)$  be a CFG;  
we need to construct PDA,  $M$  s.t.  $L(M) = L(G)$

Construct  $M = (\{P, Q\}, \Sigma, V, \Delta, P, \{q\})$

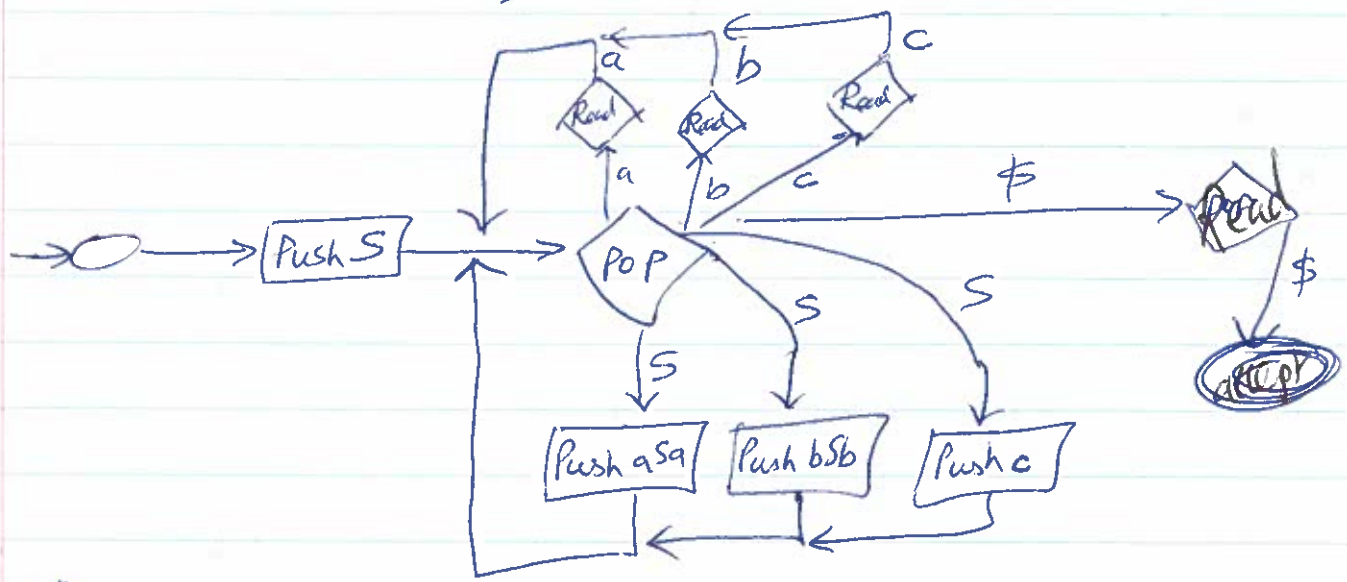
where  $\Delta$  contains the following transitions:

- 1)  $(P, \epsilon, \epsilon), (Q, S)$  Push  $S$
- 2)  $((Q, \epsilon, A), (Q, X))$  <sup>Pop  $A$ , push  $X$</sup>  for each  $A \rightarrow X$  in  $R$
- 3)  $((Q, a, a), (Q, \epsilon))$  <sub>pop  $a$ , read  $a$</sub>  for each  $a \in \Sigma$ .

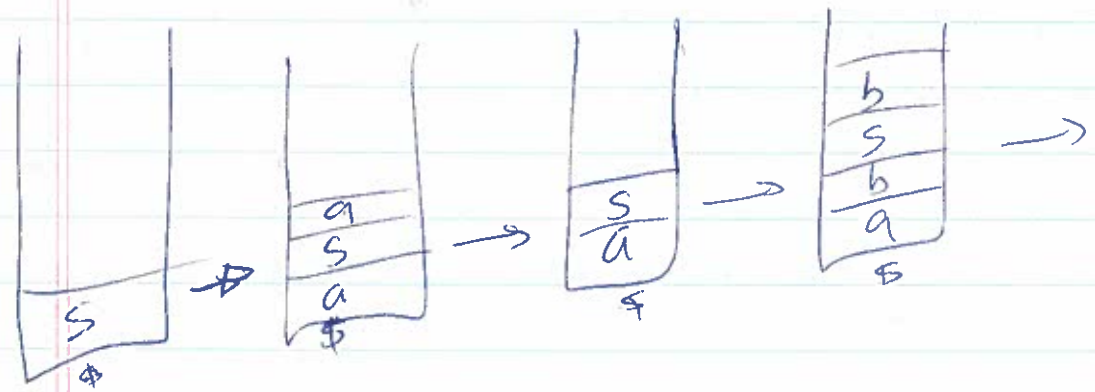


ex ~~CFG~~ CFG

$S \rightarrow aSa$   
 $S \rightarrow bSb$   
 $S \rightarrow c$



abbcbba \$



Lemma 3.4.4 If a language is accepted by a PDA then it is a Context-free language

Proof Skip it.

## 3.5 Properties of CFLs

### 1. Closure Properties

Th. 3.5-1 CFLs are closed under Union, Concatenation, and Kleene Star

Proof:

Let  $G_1 = (V_1, \Sigma_1, R_1, S_1)$  and

$G_2 = (V_2, \Sigma_2, R_2, S_2)$

wlog, assume  $V_1 - \Sigma_1 \cap V_2 - \Sigma_2 = \emptyset$

i) Union:  $G = (V_1 \cup V_2 \cup \{S\}, \Sigma_1 \cup \Sigma_2, R_1 \cup R_2 \cup \{S \rightarrow S_1, S \rightarrow S_2\}, S)$   
new symbol

$$L(G) = L(G_1) \cup L(G_2)$$

ii) Concatenation:  $G = (V_1 \cup V_2 \cup \{S\}, \Sigma_1 \cup \Sigma_2, R_1 \cup R_2 \cup \{S \rightarrow S_1 S_2\}, S)$   
new symbol  
 $L(G) = L(G_1) L(G_2)$

iii) Kleene Star:

$G = (V_1, \Sigma_1, R_1 \cup \{S_1 \rightarrow \epsilon, S_1 \rightarrow S_1 S_1\}, S_1)$

$$L(G) = L(G_1)^*$$

Do some examples

(CFLs not closed under  $\cap$ , complement.  
 (we shall see later))

Th. 3-5-2: The intersection of a CFL and a regular language is a context-free language

Proof: (based on f.a. & PDA)

-  $L$ : CFL ;  $M_1 = (K_1, \Sigma_1, \Gamma_1, \Delta_1, s_1, F_1)$  : PDA  
 s.t.  $L(M_1) = L$

-  $R$ : reg. lang. ;  $M_2 = (K_2, \Sigma_2, \Gamma_2, \Delta_2, s_2, F_2)$  : DFA  
 s.t.  $L(M_2) = R$

Combine these machines into ~~PDA~~ to simulate action of both.

PDA  $M = (K, \Sigma, \Gamma, \Delta, s, F)$

$$K = K_1 \times K_2$$

$$\Sigma = \Sigma_1 \cup \Sigma_2$$

$$\Gamma = \Gamma_1$$

$$s = (s_1, s_2)$$

$$F = F_1 \times F_2$$

$$\Delta = \left( (q_1, a_2), u, \beta \right), \left( (p_1, p_2), \gamma \right) \in \Delta$$

$$\text{iff } \left( (q_1, u, \beta), (p_1, \gamma) \right) \in \Delta_1 \text{ and } (q_2, u) \xrightarrow{*}_{M_2} (p_2, \epsilon)$$

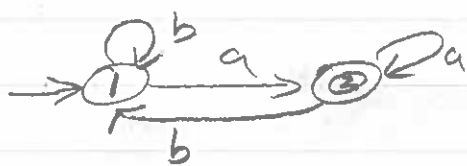


Th. 3.5.2 example

CFL:  $L_1 = \text{EQUAL}$        $\Delta = \{ ((s, a, \epsilon), (s, a)), ((s, a, b), (s, \epsilon)), ((s, b, \epsilon), (s, b)), ((s, b, a), (s, \epsilon)) \}$

$\Sigma = \{a, b\}$   
 $\Gamma = \{a, b\}$   
 $F_1 = \{s\}$

regular:  $L_2 = \text{words ending in letter 'a'}$



$F_2 = \{2\}$

$\delta(1, a) = 2$   
 $\delta(1, b) = 1$   
 $\delta(2, a) = 2$   
 $\delta(2, b) = 1$

$L_1 \cap L_2: k = k_1 \times k_2 = \{(s, 1), (s, 2)\}$

$\Sigma = \{a, b\}$

$\Gamma = \{a, b\}$

$s = (s, 1)$  call it  $s_1$

$F = F_1 \times F_2 = \{(s, 2)\} = \{s_2\}$

$\Delta = \{ ((s_1, a, \epsilon), (s_2, a)), ((s_1, a, b), (s_2, \epsilon)), ((s_1, b, \epsilon), (s_1, b)), ((s_1, b, a), (s_1, \epsilon)), ((s_2, a, \epsilon), (s_2, a)), ((s_2, a, b), (s_2, b)), ((s_2, b, \epsilon), (s_1, b)), ((s_2, b, a), (s_1, \epsilon)) \}$

~~$\Delta = \{ ((s_1, a, \epsilon), (s_2, a)), ((s_1, a, b), (s_2, \epsilon)), ((s_1, b, \epsilon), (s_1, b)), ((s_1, b, a), (s_1, \epsilon)), ((s_2, a, \epsilon), (s_2, a)), ((s_2, a, b), (s_2, b)), ((s_2, b, \epsilon), (s_1, b)), ((s_2, b, a), (s_1, \epsilon)) \}$~~

~~F = F\_1~~

ex       $abba \in L_1 \cap L_2$

$(s_1, abba, \epsilon) \vdash (s_2, bba, a)$

$\vdash (s_1, ba, \epsilon)$

$\vdash (s_1, a, b)$

$\vdash (s_2, \epsilon, \epsilon)$

$\uparrow$   
final.

---

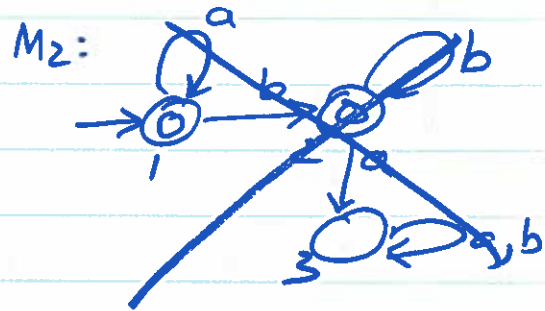
Example:

$$\{a^n\} \cap L(a^*b^*) = \{a^n b^n \mid n \geq 1\}$$

regular

~~$M_1:$~~

$$\Delta_1 = \{ (\epsilon, \epsilon), (q, c), (a, a, c), (q, ac), (a, a, a), (q, aa), (a, a, b), (q, \epsilon) \}$$



Ex  $L = \{w \mid w \in \{a, b\}^* \text{ and } w \text{ has equal \# a's \& b's} \text{ and } w \text{ does not contain substrings } \{aba, bab\}$

$$L = \text{EQUAL} \cap L_1$$

where  $L_1 = L((aub)^*) - L((aub)^*(abaaubabb)(aub)^*)$

$L_1$  is regular  
 EQUAL is c.f.

$\therefore L$  is c.f.

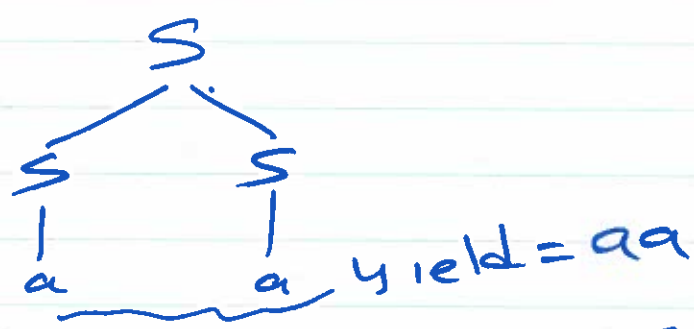
3.5.20

# Periodicity Properties

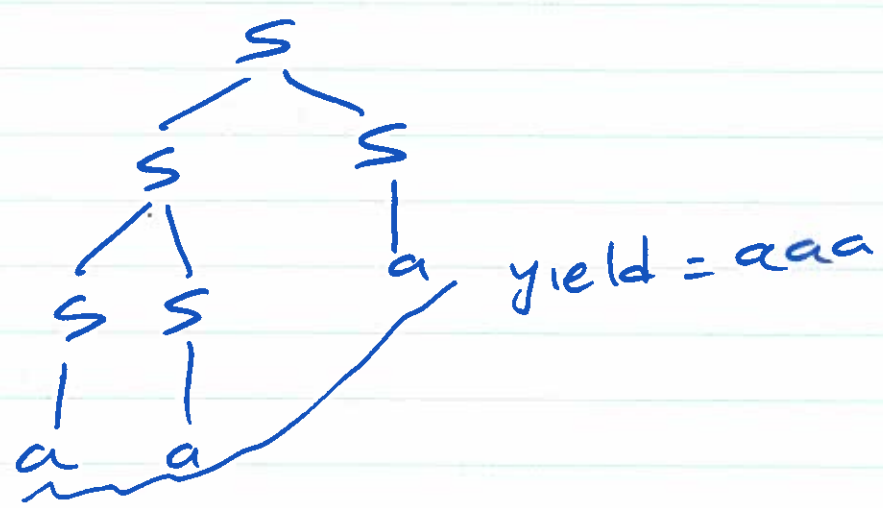
Parse trees:      Ex: G:  $S \rightarrow SS$   
 $S \rightarrow a$

$$S \Rightarrow SS \Rightarrow aS \Rightarrow aa$$

$$S \Rightarrow SS \Rightarrow Sa \Rightarrow aa$$



$$S \Rightarrow SS \Rightarrow Sa \Rightarrow S Sa \Rightarrow aSa \Rightarrow aca$$



path in a parse tree is a sequence of distinct nodes ~~each connected to~~ from root to a leaf.

length = # edges in the path

height = length of longest path

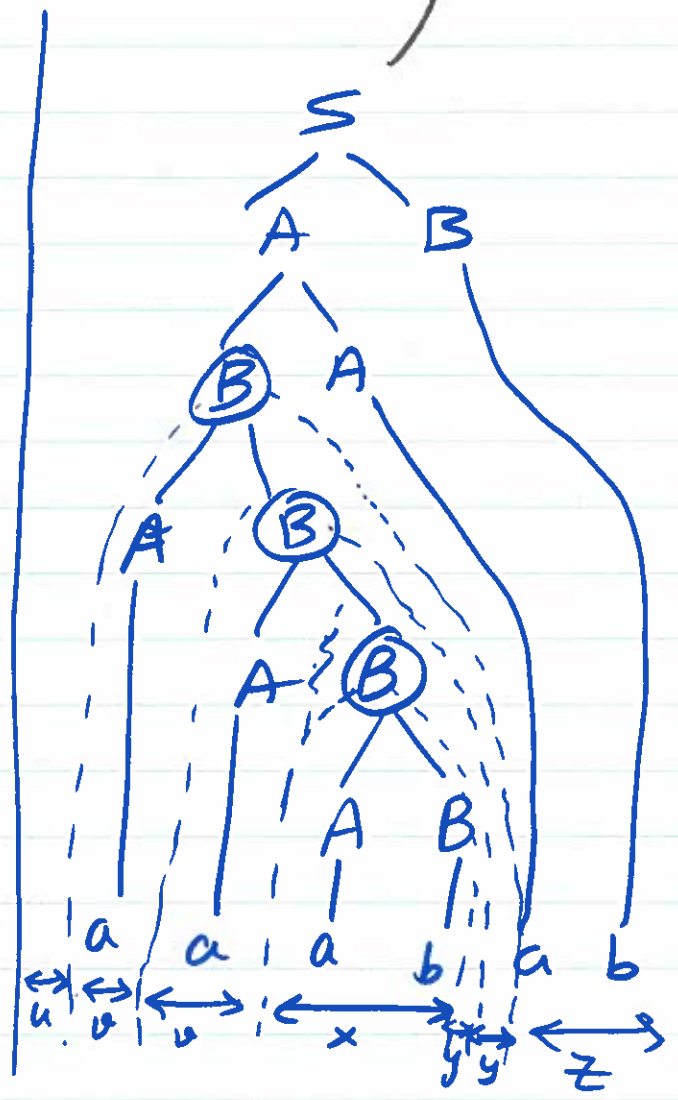
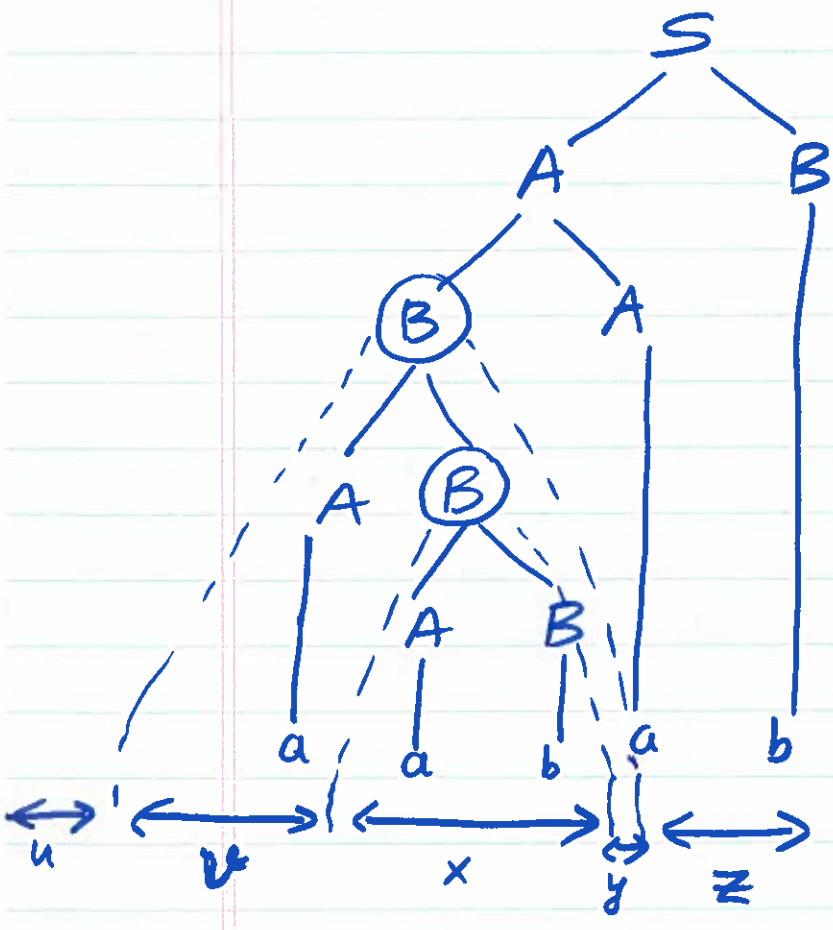
Ex

- $S \rightarrow AB$
- $S \rightarrow BC$
- $A \rightarrow BA$
- $C \rightarrow BB$
- $B \rightarrow AB$

- $A \rightarrow a$
- $B \rightarrow b$
- $C \rightarrow b$

skip

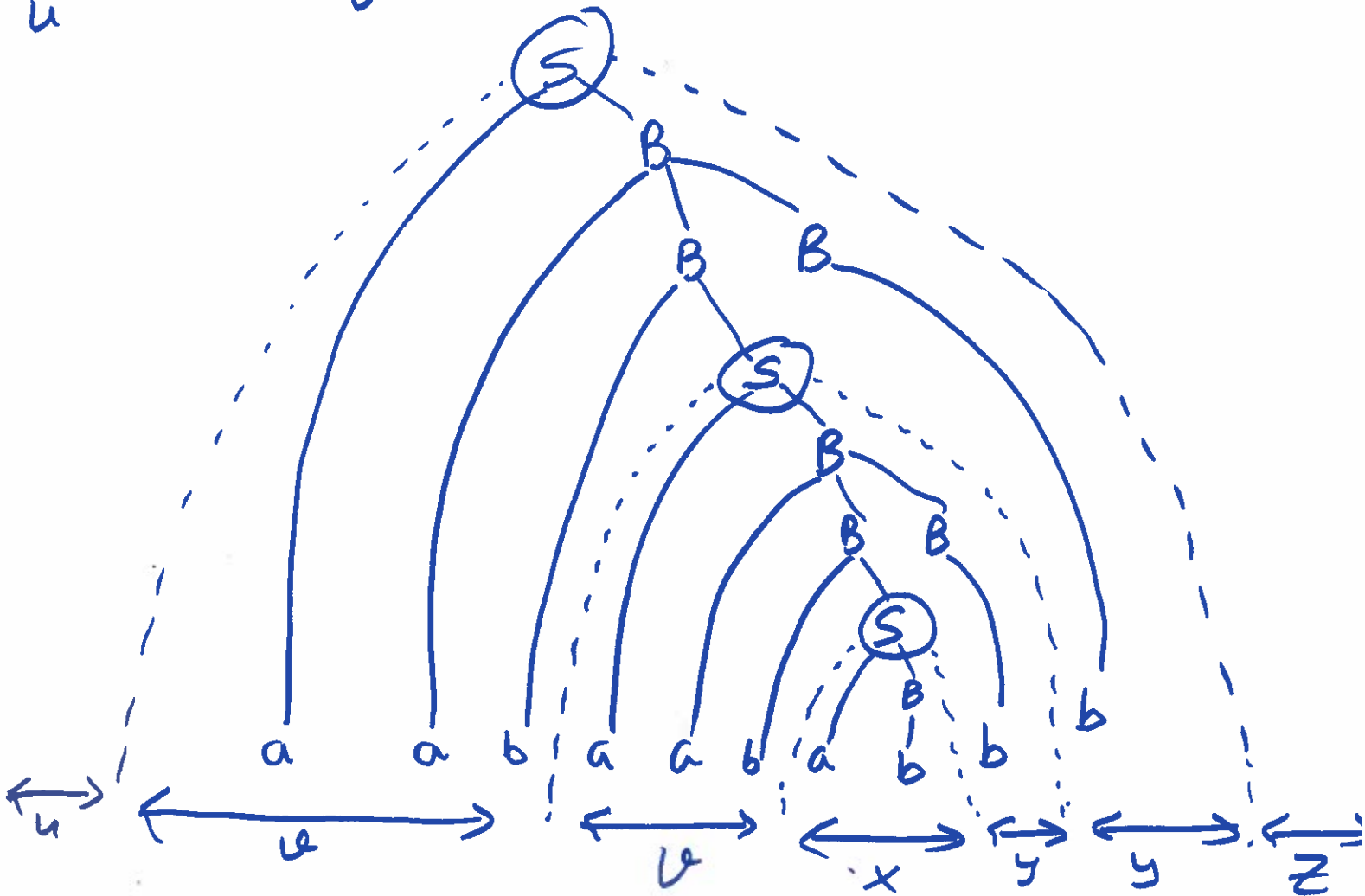
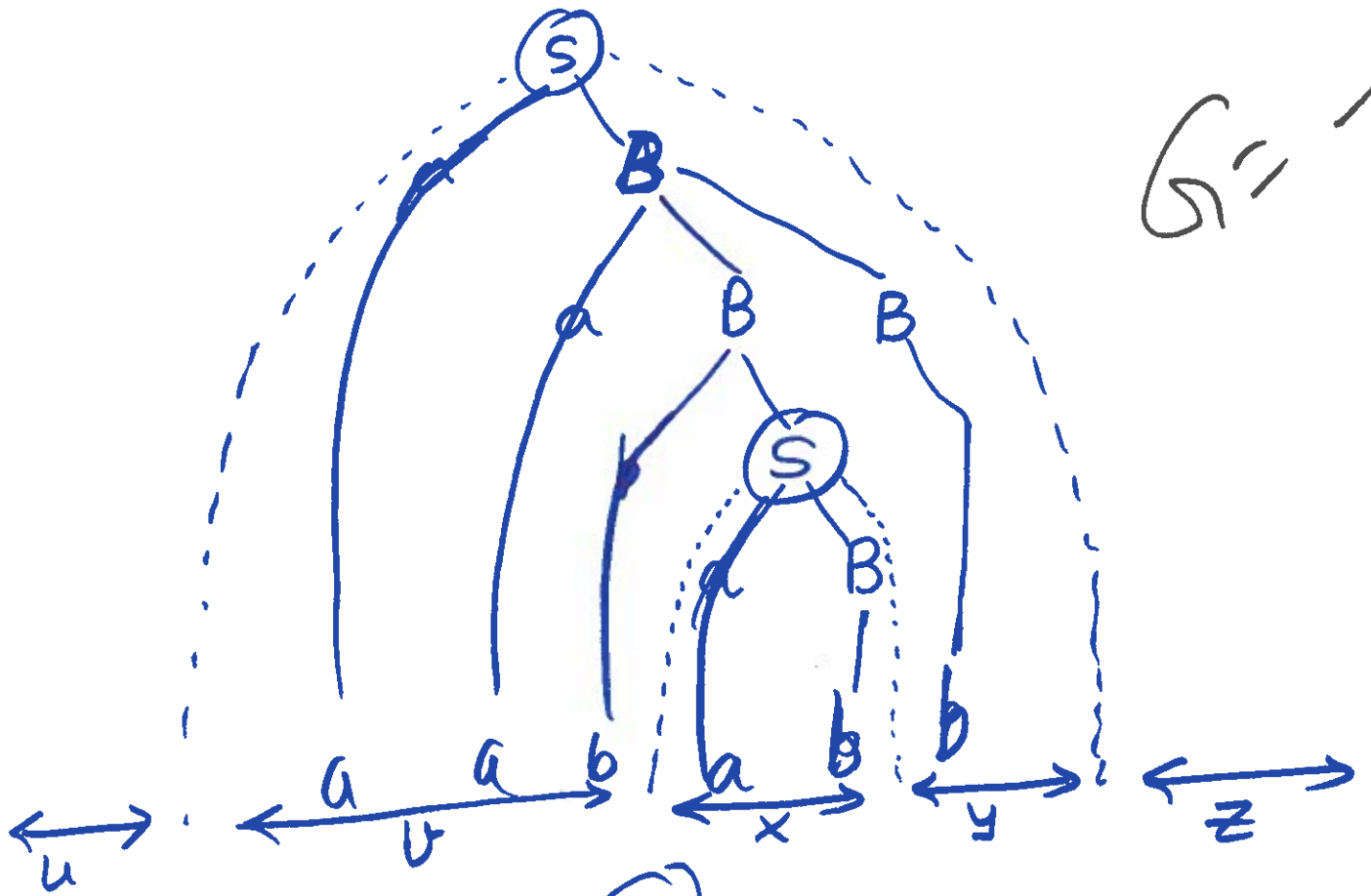
$w = aabab$

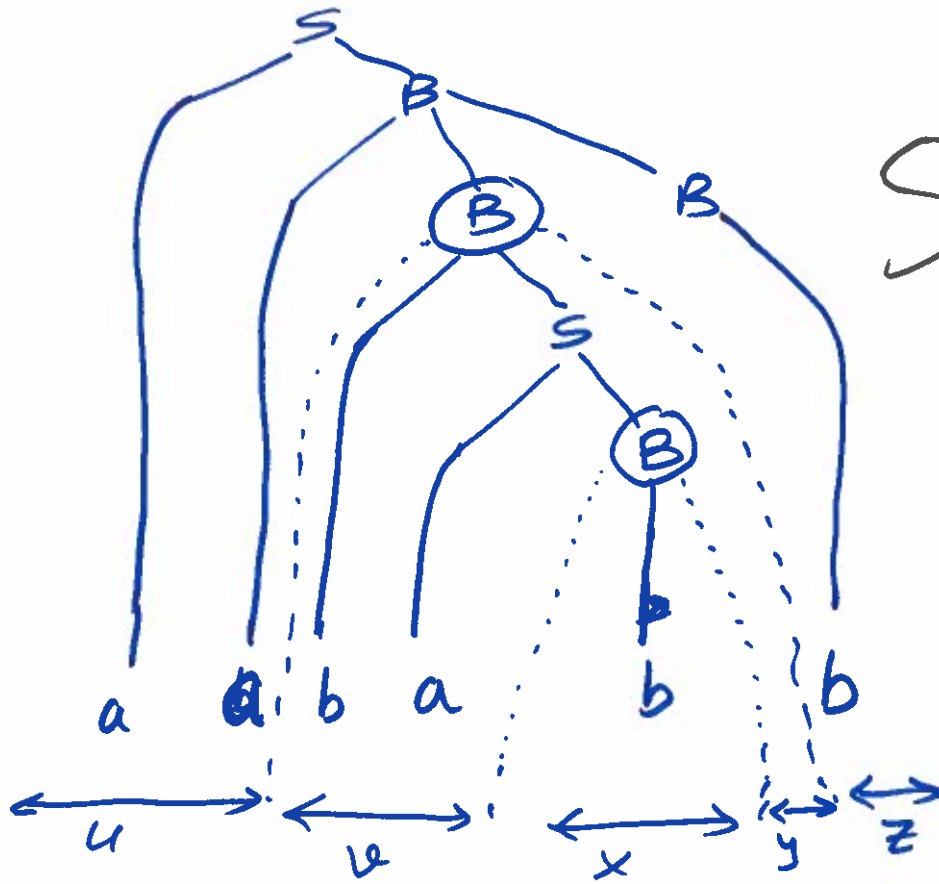


aababb

EQUAL

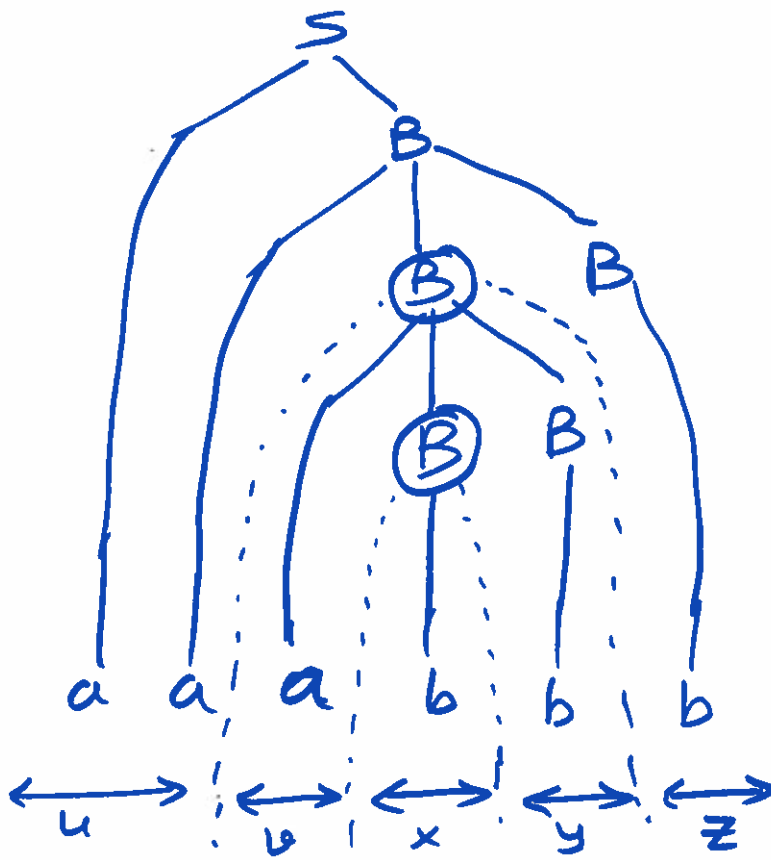
23





SKIP

EQUAL 25



$S \rightarrow aB$   
 $S \rightarrow bA$   
 $A \rightarrow aS$   
 $A \rightarrow bAA$   
 $A \rightarrow a$   
 $B \rightarrow bS$   
 $B \rightarrow aBB$   
 $B \rightarrow b$



~~SKIP~~

ex

$$S \rightarrow PQ$$

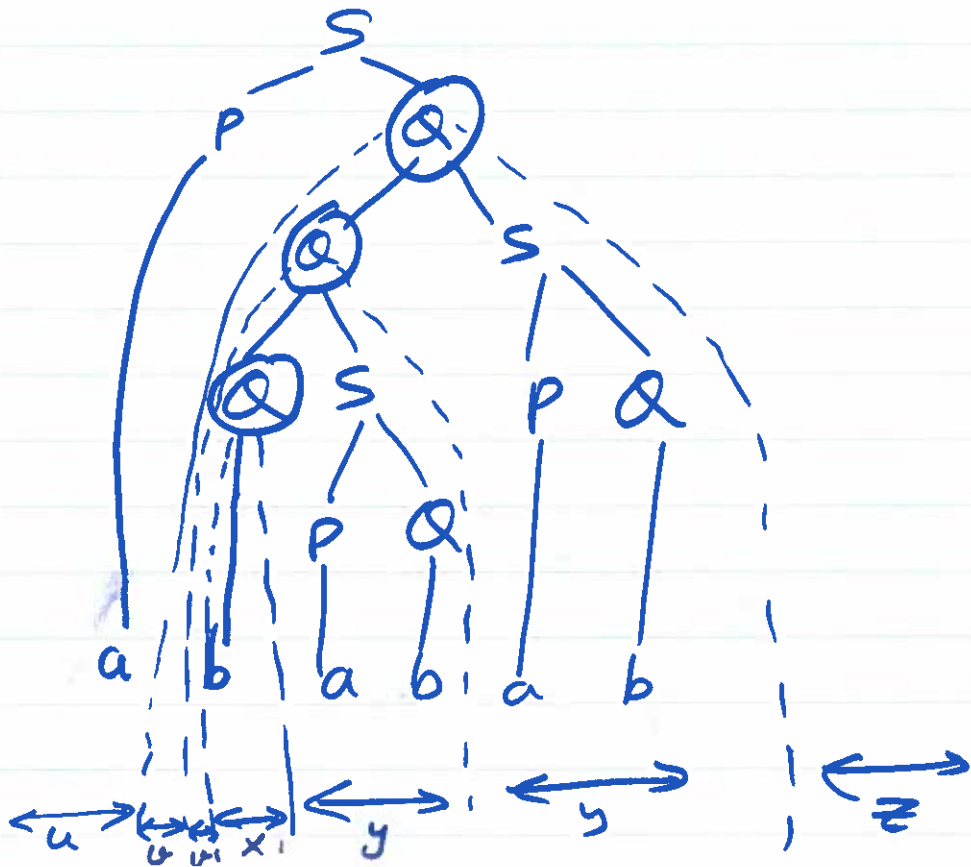
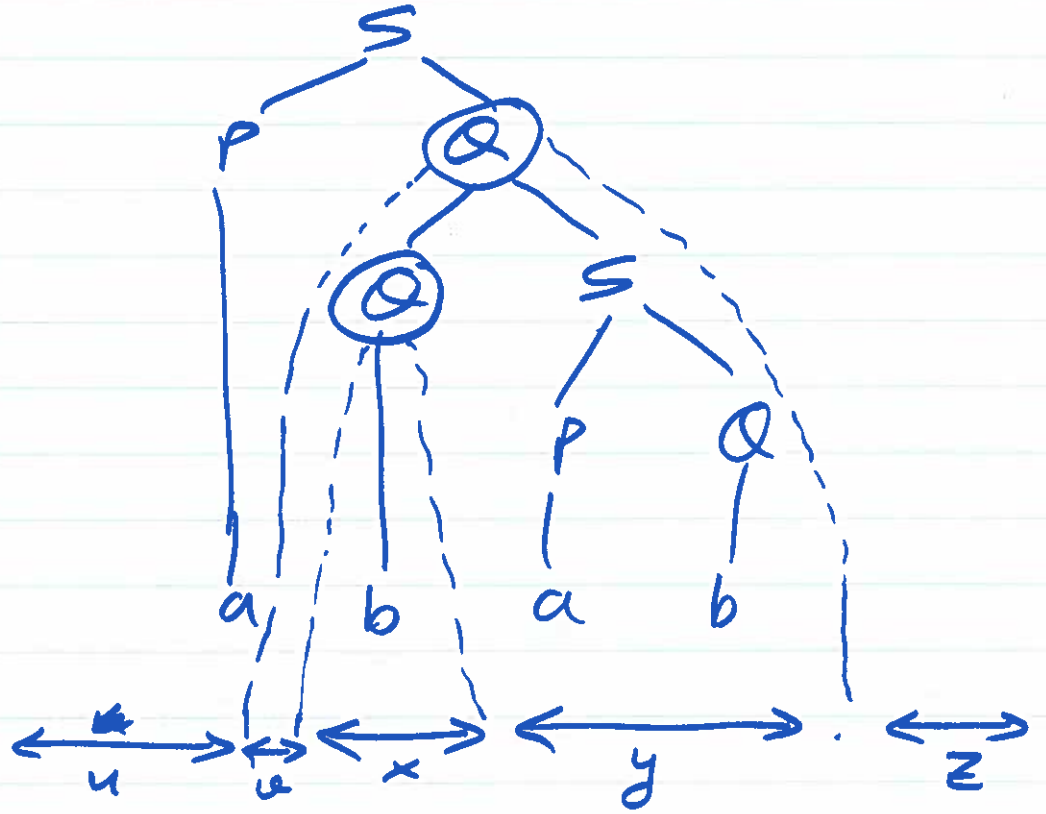
$$Q \rightarrow QS$$

$$P \rightarrow a$$

$$Q \rightarrow b$$

$w = abab$

$u = a$   
 $v = \epsilon$   
 $x = b$   
 $y = ab$   
 $z = \epsilon$



### Th. 3.5-3 (The Pumping Lemma).

Let  $G$  be a CFG. Then, there is a number  $k$  (depending on  $G$ ) such that

if  $w \in L(G)$  and  $|w| > k$  then

$$w = uvxyz \quad \text{for some } u, v, x, y, z$$

where

$$1) |vxy| \geq 1$$

$$\text{and } 2) uv^i xy^i z \in L(G), i \geq 0$$

Proof Let  $G = (V, \Sigma, R, S)$

We need to show that there exists  $k$  s.t.  
 $w \in L(G)$  and  $|w| > k$  implies

$$S \Rightarrow^* uAz \Rightarrow^* uvAy z \Rightarrow^* uvxy z$$

where  $u, v, x, y, z \in \Sigma^*$ ,  $A \in V - \Sigma$ ,  $|vy| \neq 0$ .

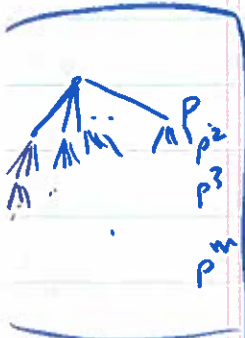
(So  $A \Rightarrow^* vAy$  can be repeated many times.)

Let  $p$  be the largest # symbols on the r.h.s of any rule in  $R$  i.e.

$$p = \max \{ |\alpha| \mid A \rightarrow \alpha \in R \}$$

A parse tree of height  $m$  can have at most  $p^m$  leaves. i.e. If  $T$  is a parse tree with yield (#leaves) greater than  $p^m$ , then  $T$  has a path of length  $> m$ .

SLP PROOF



Let  $m = |V - \Sigma|$  (# non-terminals)

and  $k = b^m$ .

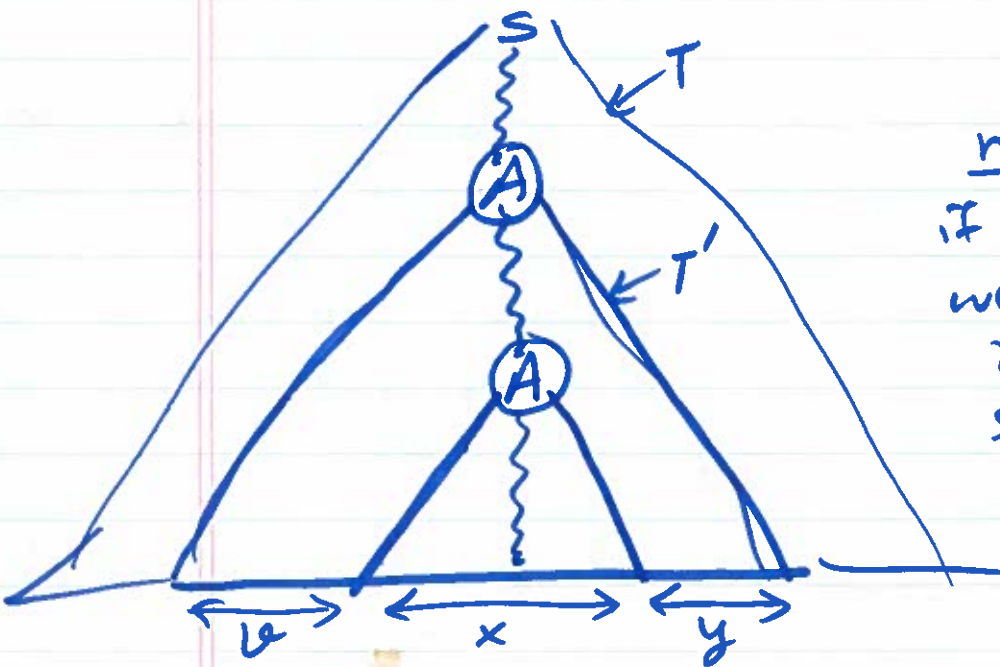
Suppose  $|w| > k$ .

$T$ :



Since  $|w| > k$ ;  $T$  has at least one path of length  $> m$  (i.e. # nodes in path is  $> |V - \Sigma| + 1$ )

$\therefore$  There are two nodes on this path labeled by same nonterminal,



$x = y = z$  is not possible because if it were so, then we could replace  $T'$  by subtree starting with 2<sup>nd</sup> A without changing the yield

This way we will end up in a parse tree with same yield but ~~same~~ height

NOT POSSIBLE

## Stronger version of P.L.

Let  $L$  be a CFL. Then there exists  $k$  such that

if  $w \in L$  and  $|w| > k$  then  
 $w = uvxyz$  where

- 1)  $|x| \geq 1$ ,
- 2)  $|y| \geq 1$ ,
- 3)  $|vxy| \leq k$ , and
- 4)  $uv^i xy^i z \in L \quad i \geq 0$ .

Th. 3.5.4  $L = \{a^n b^n c^n \mid n \geq 0\}$  is not a CFL.

Proof: Suppose  $L$  is a CFL.

Then by P.L there is a  $k$ .

Consider,  $w = a^k b^k c^k \in L$ ; clearly  $|w| > k$ .

$\therefore w = uvxyz$  where 1)  $|x| \geq 1$ , 2)  $|y| \geq 1$ , 3)  $|vxy| \leq k$   
 and 4)  $uv^i xy^i z \in L \quad i \geq 0$ .

Possibilities for  $v, y$ :

1) Either  $v$  or  $y$  has 'ab' or 'bc' as substring  
~~On pumping we get a word~~  $uv^2 xy^2 z$  is a word in  $L$  in which 'ba' or 'cb' is a substring. Contradiction.

2) Or  $v$  and  $y$  ~~are~~ ~~some~~ ~~text~~ are words  
 made up of ~~a single~~ only a's or only b's or only c's.

So  $uv^2 xy^2 z \in L$  ~~it~~ has unequal # a's, b's, c's.  
 Contradiction.

Th. 3.5.5 CFLs are not closed under ~~the~~ intersection and complementation.

Proof

Intersection:

$$L_1 = \{ a^n b^n c^m \mid m, n \geq 0 \}$$

is a CFL  $\therefore S \rightarrow \del{AB}$

$$A \rightarrow aSb$$

$$A \rightarrow \epsilon$$

$$B \rightarrow cB$$

$$B \rightarrow \epsilon$$

$L_2 = \{ a^m b^n c^n \mid n, m \geq 0 \}$  is a CFL

$\therefore S \rightarrow AB$

$$A \rightarrow aA$$

$$A \rightarrow \epsilon$$

$$B \rightarrow bBc$$

$$B \rightarrow \epsilon$$

$$L_1 \cap L_2 = \{ a^n b^n c^n \mid n \geq 0 \}$$

which is not a CFL.

Complementation: If CFL are closed under complementation, then since

$L_1 \cap L_2 = \Sigma^* - ((\Sigma^* - L_1) \cup (\Sigma^* - L_2))$   
CFLs would also be closed under  $\cap$ !!

Claim: Let  $L = \{a^n b^n a^n \mid n \geq 0\}$ .  
 then,  $\bar{L}$  is c.f.

SKIP

Proof (P 486 Cohen)

Define

- cf  $M_{pq} = \{a^p b^q a^r \mid p > q, p \geq 1, q \geq 1, r \geq 1\}$
- cf  $M_{qp} = \{a^p b^q a^r \mid q > p, p \geq 1, q \geq 1, r \geq 1\}$
- cf  $M_{pr} = \{a^p b^q a^r \mid p > r, p \geq 1, q \geq 1, r \geq 1\}$
- cf  $M_{rp} = \{a^p b^q a^r \mid r > p, p \geq 1, q \geq 1, r \geq 1\}$
- cf  $M_{qr} = \{a^p b^q a^r \mid q > r, p \geq 1, q \geq 1, r \geq 1\}$
- cf  $M_{rq} = \{a^p b^q a^r \mid r > q, p \geq 1, q \geq 1, r \geq 1\}$
- cf  $M = \Sigma^* - L(aa^*bb^*aa^*)$

$S \rightarrow AXA$
$X \rightarrow aXb$
$X \rightarrow ab$
$A \rightarrow aA$
$A \rightarrow a$

Let  $L_1 = \frac{M_{pq} \cup M_{qp} \cup M_{pr} \cup M_{rp} \cup M_{qr} \cup M_{rq} \cup M}{\text{c.f.}}$

$\bar{L}_1 = \bar{L}$  !!  
 ↑  
 not c.f.

why?

① all words which are <sup>not</sup> of the form  $a^p b^q a^r$  are in  $M$ , so they are not in  $L_1$ .  
 i.e. all words in  $\bar{L}_1$  are of the form  $a^p b^q a^r$ .

②  $\left\{ \begin{array}{l} \text{if } p > q \text{ then such a word is in } M_{pq} \\ \text{if } q > p \end{array} \right.$   
 ...  
 so, only possibility is  $p = q = r$ .

### 3.5.3 Algorithmic Properties

Th. 3.5.8 There are algorithms to answer the following questions about CFGs

- (a)  $w \in L(G)$ ?  
 (b)  $L(G) = \emptyset$ ?

Proof:

(a) ~~Before we answer  $w \in L(G)$ ? question consider we need some definitions!~~

Suppose every rule in  $G$  is of the form

$$A \rightarrow u$$

where either (1)  $u$  is a terminal or (2)  $|u| \geq 2$ .

Then, ~~every~~ the derived string will ~~never decrease in~~ become longer and longer except when  $A \rightarrow a$  is used.

In such a situation, any parse tree of height  $h$  must have yield of length  $\geq h$ .

So, if we check for all parse trees of height  $\leq |w|$ , we ~~could~~ can tell if there is a parse tree for  $w$  or not i.e. if  $w \in L(G)$  or not.



#such trees is finite!

We have to eliminate <sup>all</sup> rules of the form  
 $A \rightarrow \epsilon$  and  
 $A \rightarrow B$

(we may have to keep  $S \rightarrow \epsilon$ !)

1) Eliminate rules of the form  $A \rightarrow \epsilon$

Step 1: if  $A \rightarrow \epsilon \in R$  and  $B \rightarrow uAv \in R$   
 then introduce  $B \rightarrow uv$  in  $R$ .

Step 2: Remove  $A \rightarrow \epsilon$  (except when  $A=S$ !)

ex  $G: \begin{array}{l} S \rightarrow aAB \\ A \rightarrow \epsilon \\ B \rightarrow bAAb \\ A \rightarrow a \end{array} \xRightarrow{G'} \begin{array}{l} S \rightarrow aAB \\ S \rightarrow aB \\ B \rightarrow bAb \\ B \rightarrow bb \\ B \rightarrow bAAb \\ A \rightarrow a \end{array}$

$\Rightarrow L(G) = L(G')$

2) Eliminate rules of the form  $A \rightarrow B$



# 1. Eliminate: $A \rightarrow \epsilon$

def: A nonterminal  $N$  is nullable if

$$1) N \rightarrow \epsilon \quad \epsilon \in R \quad \text{or}$$

$$2) N \Rightarrow^* \epsilon$$

Step 1: Delete all rules of the form  $A \rightarrow \epsilon$

Step 2: Add the following rules:

for every  $X \rightarrow \alpha_1 N \alpha_2$  where  $N$  is nullable, add

$$X \rightarrow \alpha_1 \alpha_2$$

(exception: do not add  $X \rightarrow \epsilon$ )

if more than one occurrence of  $N$  on r.h.s then replace  $N$  by  $\epsilon$  in all possible combina

Ex: 1) 
$$\begin{array}{l} X \rightarrow aNbNa \\ N \rightarrow \epsilon \end{array} \Rightarrow \begin{array}{l} X \rightarrow aNbNa \\ X \rightarrow abNa \\ X \rightarrow aNba \\ X \rightarrow aba \end{array}$$

2) 
$$\begin{array}{l} S \rightarrow aSa \\ S \rightarrow bSb \\ S \rightarrow \epsilon \end{array} \Rightarrow \begin{array}{l} S \rightarrow aSa \\ S \rightarrow bSb \\ S \rightarrow aa \\ S \rightarrow bb \end{array}$$

3) 
$$\begin{array}{l} S \rightarrow a \\ S \rightarrow x^{\circ}b \\ S \rightarrow aYa \\ x^{\circ} \rightarrow y^{\circ} \\ x^{\circ} \rightarrow \epsilon \\ y \rightarrow b \\ y^{\circ} \rightarrow x^{\circ} \end{array} \Rightarrow \begin{array}{l} S \rightarrow a \\ S \rightarrow x^{\circ}b \\ S \rightarrow aYa \\ x \rightarrow y \\ y \rightarrow b \\ y \rightarrow x \\ S \rightarrow b \\ S \rightarrow aa \end{array}$$

$x^{\circ}, y^{\circ}$ : nullable.

## 2. Eliminate $A \rightarrow B$

Step 1: if  $(A \rightarrow B \overset{\epsilon R}{\text{or}} A \Rightarrow^* B)$  ~~then~~  
 and  $B \rightarrow s_1, B \rightarrow s_2, \dots, B \rightarrow s_n$   
 $\epsilon R$   
 then add  $A \rightarrow s_1, A \rightarrow s_2, \dots, A \rightarrow s_n$   
 (do not introduce  $A \rightarrow C$ !!)

do this for all pairs  $A, B$  simultaneously  $\rightarrow$

Step 2: Delete all  $A \rightarrow B$

ex

$S \rightarrow A$		$S \rightarrow bb$		$S \rightarrow b$
$S \rightarrow bb$				$S \rightarrow a$
$A \rightarrow B$	$\Rightarrow$	$A \rightarrow b$	+	$A \rightarrow a$
$A \rightarrow b$		$B \rightarrow a$		$A \rightarrow bb$
$B \rightarrow S$				$B \rightarrow bb$
$B \rightarrow a$				$B \rightarrow b$

- $\{ S \rightarrow A \}$  add  $S \rightarrow b$
- $\{ A \rightarrow B, A \rightarrow b \}$
- $\{ \cancel{S \rightarrow A} \Rightarrow B \}$  add  $S \rightarrow a$
- $\{ B \rightarrow S, B \rightarrow a \}$
- $\{ \cancel{A \rightarrow B} \}$
- $\{ A \rightarrow B \}$  add  $A \rightarrow a$
- $\{ B \rightarrow S, B \rightarrow a \}$
- $\{ A \Rightarrow B \Rightarrow S \}$  add  $A \rightarrow bb$
- $\{ S \rightarrow A, S \rightarrow bb \}$
- $\{ B \rightarrow S \}$  add  $B \rightarrow bb$
- $\{ S \rightarrow A, S \rightarrow bb \}$
- $\{ B \Rightarrow S \Rightarrow A \}$  add  $B \rightarrow b$
- $\{ A \rightarrow B, A \rightarrow b \}$

To test if  $L(G) = \emptyset$ :

Simply check ~~if~~<sup>for</sup> all parse trees of height  $\leq |V - \Sigma|$ . If you find one then  $L(G) \neq \emptyset$  otherwise  $L(G) = \emptyset$ .

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## Some Examples

① Claim  $L = \{ a^i \mid i \text{ is prime} \}$  is not c-f. 37

Proof Let  $L$  be c-f. Then, by p.l., there is a  $k$

Consider  $w = a^m$ ,  $m \geq k$ ,  $m = \text{prime}$ .

$$\text{So, } w = \underbrace{a^p}_{u} \underbrace{a^q}_{v} \underbrace{a^r}_{x} \underbrace{a^s}_{y} \underbrace{a^t}_{z}, \quad p+q+r+s+t = m$$

$$q+s \geq 1$$

$$q+r+s \leq k$$

$$r \geq 1$$

$$a^p a^{nq} a^r a^{ns} a^t \in L$$

i.e.  $p+nq+r+ns+t$  is prime.

$p+n(q+s)+(r+t)$  is prime

$$\text{let } n = (p+2(q+s)+(r+t)+2)$$

$p+(p+2(q+s)+(r+t)+2)(q+s)+(r+t)$  is prime

$$p + p(q+s) + 2(q+s)^2 + (r+t)(q+s) + 2(q+s) + (r+t) \quad \text{is prime}$$

$$p(q+s+1) + (r+t)(q+s+1) + (q+s)(2q+2s+2) \quad \text{is prime}$$

$$p(q+s+1) + (r+t)(q+s+1) + (q+s)2(q+s+1) \quad \text{is prime}$$

$$(q+s+1)(p+r+t+2q+2s) \quad \text{is prime}$$

$$\text{But } \left. \begin{array}{l} q+s+1 \geq 2 \\ \text{and } p+r+t+2q+2s \geq 2 \end{array} \right\} \therefore q+s \geq 1$$

$\therefore$  we have a contradiction

$L = \{a^n b^{n^2} \mid n \geq 0\}$  is not C.F.

Let  $L$  be C.F. P.L.  $\Rightarrow K$ .

Consider  $Z = a^k b^{k^2}$

$Z = uvxyZ$

$|vxy| \leq k, |vy| \geq 1$

①  $v = a^l, y = a^m, l+m \geq 1$

$uv^2xy^2z : \begin{cases} \# b's = k^2 \\ \# a's = k + l + m \end{cases} > k$   
 $\hookrightarrow$  contradiction

②  $v = b^l, y = b^m, l+m \geq 1$

$uv^2xy^2z : \begin{cases} \# b's = k^2 + l + m \\ \# a's = k \end{cases}$   
 $\hookrightarrow$  contradiction

③  $v = a^l, y = b^m$

$1 \leq l \leq k$   
 $1 \leq m \leq k$

$uv^2xy^2z : a^{k+l} b^{k^2+m} \in L$   
 $k^2+m = (k+l)^2$   
 ~~$k^2+m = k^2 + l^2 + 2kl$~~   
 $m = l^2 + 2kl$   
 $\leq k > k$   
 $\hookrightarrow$  contradiction.

④  $v, y$  contain  $a$ 's and  $b$ 's

on pumping we get  $a$ 's after  $b$ 's  
 contradiction