

**Logic Programming in Prolog**  
**Part II**  
**Substitutions, SLD Resolution**  
**Prolog Execution**  
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# Puzzle Revisited

## faster solution

```
didBetter(A,B,[A,B,_]).  
didBetter(A,C,[A,_,C]).  
didBetter(B,C,[_,B,C]).
```

```
first(X,[X|_]).
```

```
makeListOfFriends(0,[]).  
makeListOfFriends(N,[person(_,_,_)|L]) :-  
    M is N-1, makeListOfFriends(M,L).
```

```
answer(Aussie, RichardSport) :-  
    makeListOfFriends(3, Friends),  
  
    didBetter(person(michael,_,basketball), person(_,american,_), Friends),  
  
    didBetter(person(simon,israeli,_), person(_,_,tennis), Friends),  
  
    first(person(_,_,cricket), Friends),  
  
    member(person(Aussie,australian,_), Friends),  
  
    member(person(richard,_,RichardSport), Friends).
```

# Substitutions

**Definition:** A substitution is a finite set of pairs of terms,  $\{X_1 \leftarrow t_1, \dots, X_n \leftarrow t_n\}$ , where each  $t_i$  is a term and each  $X_i$  is a variable such that  $X_i \neq t_i$  and  $X_i \neq X_j$  if  $i \neq j$ . Empty substitution is represented by  $\varepsilon$

$$\theta = \{X \leftarrow 20, Y \leftarrow f(a), Z \leftarrow [1,2,3]\}$$

**Definition:** The application  $X\theta$  of a substitution  $\theta$  to a variable  $X$  is defined as follows:

$$\begin{aligned} X\theta &= t \text{ if } X := t \text{ is in } \theta \\ &= X \text{ otherwise} \end{aligned}$$

$$Y \{X \leftarrow 20, Y \leftarrow f(a), Z \leftarrow [1,2,3]\} = f(a)$$

$$U \{X \leftarrow 20, Y \leftarrow f(a), Z \leftarrow [1,2,3]\} = U$$

**Definition:** Let  $\theta$  be a substitution  $\{X_1 \leftarrow t_1, \dots, X_n \leftarrow t_n\}$  and  $E$  is a term or formula. Then, application of  $\theta$  to  $E$ ,  $E\theta$  is a term or formula obtained from  $E$  by simultaneously replacing every occurrence of  $X_i$  by  $t_i$ ,  $1 \leq i \leq n$ .  $E\theta$  is called an *instance* of  $E$ .

$$p(f(X,Z),f(Y,a)) \{X \leftarrow a, Y \leftarrow Z, W \leftarrow b\} = p(f(a,Z),f(Z,a))$$

$$p(X,Y) \{X \leftarrow f(Y), Y \leftarrow b\} = p(f(Y),b)$$

## Substitutions continued

**Definition:** Let  $\theta = \{X_1 \leftarrow s_1, \dots, X_m \leftarrow s_m\}$  and  $\sigma = \{Y_1 \leftarrow t_1, \dots, Y_n \leftarrow t_n\}$

The composition of  $\theta\sigma$  is obtained from the set

$$\{X_1 \leftarrow s_1\sigma, \dots, X_m \leftarrow s_m\sigma, Y_1 \leftarrow t_1, \dots, Y_n \leftarrow t_n\}$$

by

- removing all  $X_i \leftarrow s_i\sigma$  where  $X_i = s_i\sigma$ , and
- removing those  $Y_j \leftarrow t_j$  for which  $Y_j$  is in  $\{X_1, \dots, X_m\}$ .

example:

$$\{X \leftarrow f(Z), Y \leftarrow W\}\{X \leftarrow a, Z \leftarrow a, W \leftarrow Y\} = \{X \leftarrow f(a), Z \leftarrow a, W \leftarrow Y\}$$

Properties:

$$E(\theta\sigma) = (E\theta)\sigma$$

$$(\theta\sigma)\omega = \theta(\sigma\omega)$$

$$\theta\varepsilon = \varepsilon\theta = \theta$$

composition of substitutions is not commutative

$$\{X \leftarrow f(Y)\}\{Y \leftarrow a\} = \{X \leftarrow f(a), Y \leftarrow a\}$$

$$\{Y \leftarrow a\}\{X \leftarrow f(Y)\} = \{Y \leftarrow a, X \leftarrow f(Y)\}$$

# Unifiers and Most General Unifiers (MGU)

**Definition:** Let  $s$  and  $t$  be two terms. A substitution  $\theta$  is a unifier for  $s$  and  $t$  if  $s\theta = t\theta$ .

$f(X, Y)$

$f(g(Z), Z)$

unifier:  $\theta = \{X \leftarrow g(Z), Y \leftarrow Z\}$

another unifier:  $\sigma = \{X \leftarrow g(a), Y \leftarrow a, Z \leftarrow a\}$

**Definition:** A substitution  $\theta$  is more general than substitution  $\sigma$  if there exists a substitution  $\omega$  such that  $\sigma = \theta\omega$

In previous example,  $\sigma = \theta \{Z \leftarrow a\}$ .  $\theta$  is more general than  $\sigma$

**Definition:** A unifier is said to be the most general unifier (mgu) of two terms if it is more general than any other unifier of the terms.

# Algorithm for Most General Unifiers (MGU)

**Input:**  $S = \{E_1, \dots, E_n\}$

**Output:**  $\text{mgu}(S)$

**Method:**

1.  $k := 0; \sigma_k = \{\}$
2. If  $S\sigma_k$  is a singleton, return  $\sigma_k$  otherwise find  $D_k$ , the disagreement set of  $S\sigma_k$
3. If there exists a variable  $v$  and a term  $t$  in  $D_k$  such that  $v$  does not appear in  $t$ , then  $\sigma_{k+1} = \sigma_k \{v \leftarrow t\}$ ,  $k++$ , goto step 2. Otherwise stop;  $S$  is not unifiable.

**Note:** The disagreement set of a set of terms is obtained by extracting the first sub-term in each term that is different in each.

$S = \{ f(X,Y), f(g(Z),Z) \}$

$k$	$\sigma_k$	$S\sigma_k$	Disagreement set of $S\sigma_k$
0	$\{\}$	$\{ f(X,Y), f(g(Z),Z) \}$	$\{ X, g(Z) \}$
1	$\{X \leftarrow g(Z)\}$	$\{ f(g(Z),Y), f(g(Z),Z) \}$	$\{ Y, Z \}$
2	$\{X \leftarrow g(Z), Y \leftarrow Z\}$	$\{ f(g(Z),Z) \}$	

# Another MGU Example

$$S = \{ p(X, Y, X), p(f(Y), a, f(Z)) \}$$

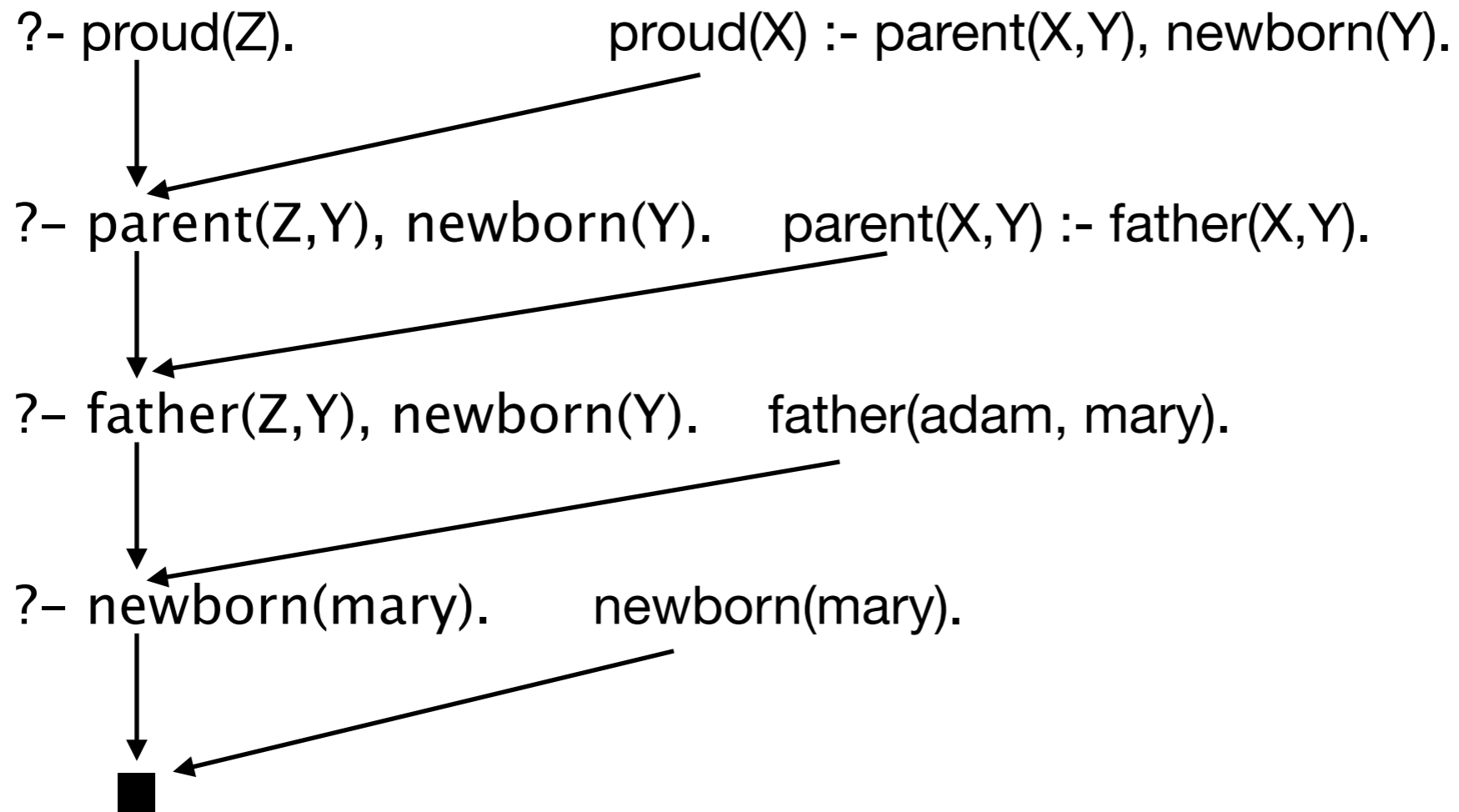
k	$\sigma_k$	$S\sigma_k$	Disagreement set of $S\sigma_k$
0	$\{ \}$	$\{ p(X, Y, X), p(f(Y), a, f(Z)) \}$	$\{ X, f(Y) \}$
1	$\{ X \leftarrow f(Y) \}$	$\{ p(f(Y), Y, f(Y)), p(f(Y), a, f(Z)) \}$	$\{ Y, a \}$
2	$\{ X \leftarrow f(a), Y \leftarrow a \}$	$\{ p(f(a), a, f(a)), p(f(a), a, f(Z)) \}$	$\{ Z, a \}$
3	$\{ X \leftarrow f(a), Y \leftarrow a, Z \leftarrow a \}$	$\{ p(f(a), a, f(a)) \}$	

# SLD Resolution

## Informal Introduction

father(adam, mary).  
newborn(mary).  
proud(X) :- parent(X, \_), newborn(Y).  
parent(X, Y) :- father(X, Y).  
parent(X, Y) :- mother(X, Y).

?- proud(Z).





# SLD Resolution

Let  $?- A_1, \dots, A_m$  be a goal/query (call it  $G_0$ ) and let  $B_0 :- B_1, \dots, B_n$  be a renamed program fact/rule (call it  $R_0$ ) whose head predicate unifies with  $A_1$ . The variables in the program fact/rule are renamed so that there are no common variables between the program fact/rule and  $G_0$ )

Let  $\text{mgu}(A_1, B_0) = \theta_1$ . The new goal/query (call it  $G_1$ ) is

$?- (B_1, \dots, B_n, A_2, \dots, A_m) \theta_1$

This process is repeated, i.e.

$$G_0 \xrightarrow[\theta_1]{R_0} G_1 \xrightarrow[\theta_2]{R_1} G_2 \dots G_{(n-1)} \xrightarrow[\theta_n]{R_{(n-1)}} G_n \dots$$

until (a) empty goal, or (b) cannot find program fact/rule to unify, or infinite loop! The sequence  $G_0, G_1, \dots, G_n, \dots$  is called a SLD-derivation. A finite sequence ending in the empty goal is called a SLD-Refutation.

For a finite SLD-derivation,  $\theta = \theta_1\theta_2\dots\theta_n$  is called the computed substitution.

# SLD Resolution continued

Example:

$G_0$ : ?- proud(Z).

$R_0$ : proud( $X_0$ ) :- parent( $X_0, Y_0$ ), newborn( $Y_0$ ).

$\theta_1 = \{X_0 \leftarrow Z\}$

$G_1$ : ?- parent(Z,  $Y_0$ ), newborn( $Y_0$ ).

$R_1$ : parent( $X_1, Y_1$ ) :- father( $X_1, Y_1$ ).

$\theta_2 = \{X_1 \leftarrow Z, Y_1 \leftarrow Y_0\}$

$G_2$ : ?- father(Z,  $Y_0$ ), newborn( $Y_0$ ).

$R_2$ : father(adam, mary).

$\theta_3 = \{Z \leftarrow \text{adam}, Y_0 \leftarrow \text{mary}\}$

$G_3$ : ?- newborn(mary).

$R_3$ : newborn(mary).

$\theta_4 = \{\}$

$G_4$ : ■

$\theta = \theta_1\theta_2\theta_3\theta_4$

$= \{X_0 \leftarrow Z\}\{X_1 \leftarrow Z, Y_1 \leftarrow Y_0\} \{Z \leftarrow \text{adam}, Y_0 \leftarrow \text{mary}\} \epsilon$

$= \{X_0 \leftarrow \text{adam}, X_1 \leftarrow \text{adam}, Y_1 \leftarrow \text{mary}, Z \leftarrow \text{adam}, Y_0 \leftarrow \text{mary}\}$

# SLD Resolution - Another Example

grandfather(X,Z) :- father(X,Y), parent(Y,Z).

parent(X,Y) :- father(X,Y).

parent(X,Y) :- mother(X,Y).

father(a,b).

mother(b,c).

$G_0$ : ?- grandfather(a,X).

$R_0$ : grandfather( $X_0$ , $Z_0$ ) :- father( $X_0$ , $Y_0$ ), parent( $Y_0$ , $Z_0$ ).

$\theta_1 = \{X_0 \leftarrow a, Z_0 \leftarrow X\}$

$G_1$ : ?- father(a, $Y_0$ ), parent( $Y_0$ ,X).

$R_1$ : father(a,b).

$\theta_2 = \{Y_0 \leftarrow b\}$

$G_2$ : ?- parent(b,X).

$R_2$ : parent( $X_2$ , $Y_2$ ) :- mother( $X_2$ , $Y_2$ ).

$\theta_3 = \{X_2 \leftarrow b, Y_2 \leftarrow X\}$

$G_3$ : ?- mother(b,X).

$R_3$ : mother(b,c).

$\theta_4 = \{X \leftarrow c\}$

$G_4$ : ■

$\theta = \theta_1\theta_2\theta_3\theta_4$

$= \{X_0 \leftarrow a, Z_0 \leftarrow X\} \{Y_0 \leftarrow b\} \{X_2 \leftarrow b, Y_2 \leftarrow X\} \{X \leftarrow c\}$

$= \{X_0 \leftarrow a, Y_0 \leftarrow b, X_2 \leftarrow b, Y_2 \leftarrow c, X \leftarrow c\}$

# SLD Refutation Tree

You may have noticed that in SLD Resolution there may be multiple choices for the program fact/rule. In fact, Prolog implementation will try these choices out exhaustively (in a depth-first manner using back-tracking) to obtain one or more SLD-refutations, resulting in one or more answers to the original goal/query.

Example:

$G_0$ : ?- grandfather(a,X).

$R_0$ : grandfather( $X_0$ , $Z_0$ ) :- father( $X_0$ , $Y_0$ ), parent( $Y_0$ , $Z_0$ ).

$\theta_1 = \{X_0 \leftarrow a, Z_0 \leftarrow X\}$

$G_1$ : ?- father(a, $Y_0$ ), parent( $Y_0$ ,X).

$R_1$ : father(a,b).

$\theta_2 = \{Y_0 \leftarrow b\}$

$G_2$ : ?- parent(b,X).

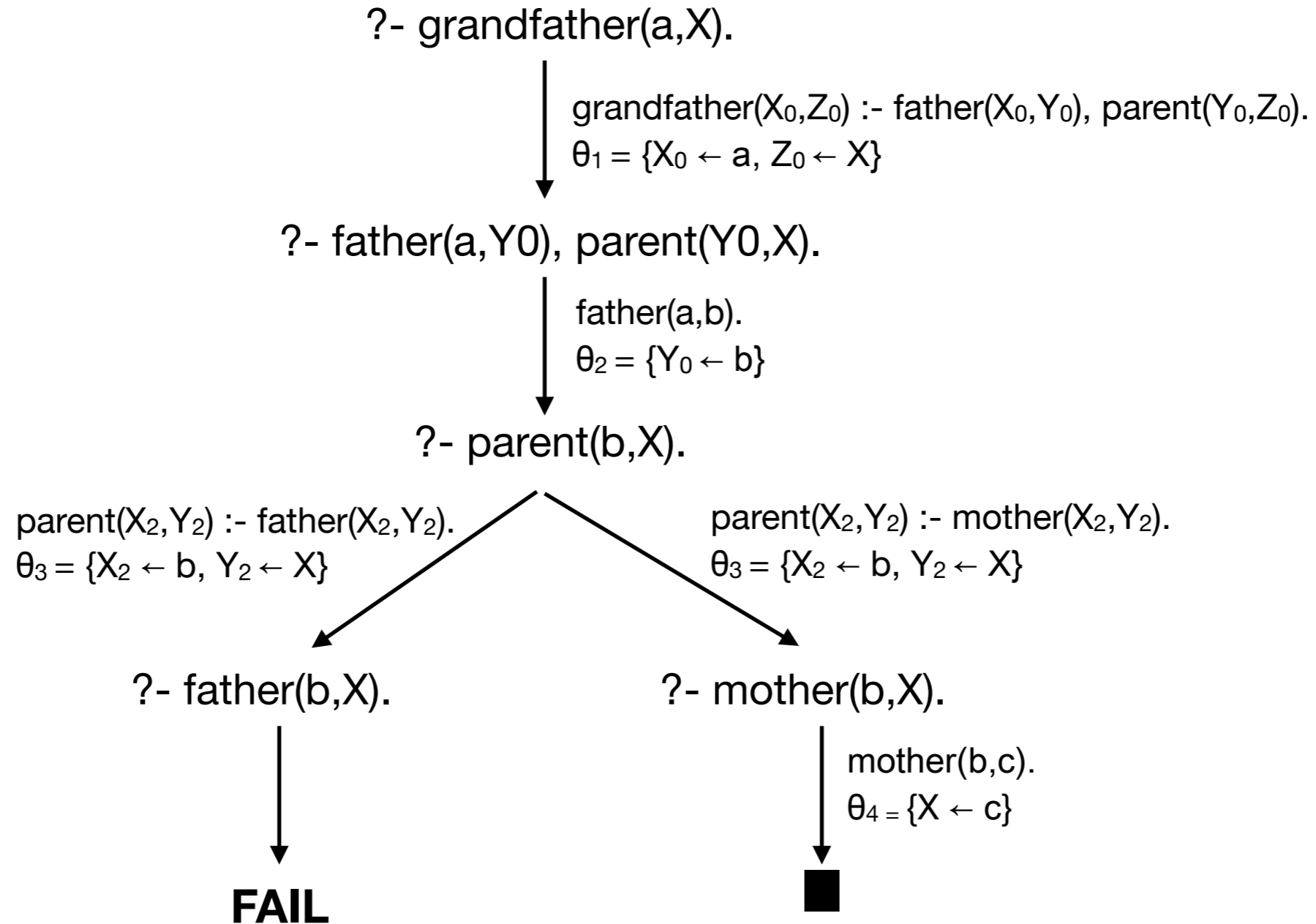
$R_2$ : parent( $X_2$ , $Y_2$ ) :- father( $X_2$ , $Y_2$ ).

$\theta_3 = \{X_2 \leftarrow b, Y_2 \leftarrow X\}$

$G_3$ : ?- father(b,X).

**STUCK!! cannot progress; Prolog will backtrack and try other possibilities.**

# SLD Refutation Tree



$$\begin{aligned}\theta &= \theta_1\theta_2\theta_3\theta_4 \\ &= \{X_0 \leftarrow a, Z_0 \leftarrow X\} \{Y_0 \leftarrow b\} \{X_2 \leftarrow b, Y_2 \leftarrow X\} \{X \leftarrow c\} \\ &= \{X_0 \leftarrow a, Y_0 \leftarrow b, X_2 \leftarrow b, Y_2 \leftarrow c, X \leftarrow c\}\end{aligned}$$

# Practice Problems

1. Find the mgu, if any, for the following sets:

$$S = \{ p(X, f(X)), p(Y, f(a)) \}$$

$$T = \{ p(a, X), p(X, f(X)) \}$$

2. Consider the following program:

$p(Y) :- q(X, Y), r(Y).$

$p(X) :- q(X, X).$

$q(X, X) :- s(X).$

$r(b).$

$s(a).$

$s(b).$

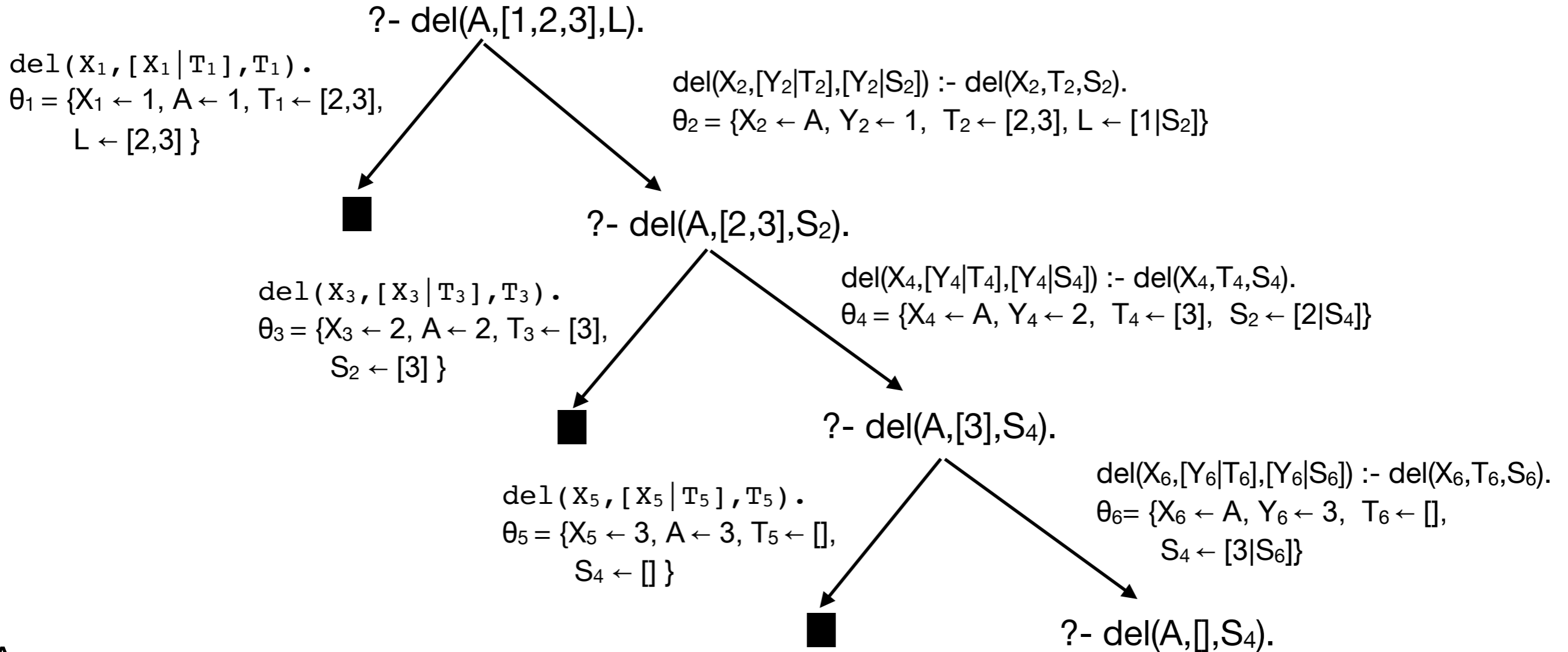
Draw the complete SLD-refutation tree for the goal:

?-  $p(X).$

# SLD Refutation Tree - Another Example

$del(X, [X|T], T).$

$del(X, [Y|T], [Y|S]) :- del(X, T, S).$



Answers:

$\theta_1 = \{X_1 \leftarrow 1, A \leftarrow 1, T_1 \leftarrow [2,3], L \leftarrow [2,3]\}$

$\theta_2\theta_3 = \{X_2 \leftarrow A, Y_2 \leftarrow 1, T_2 \leftarrow [2,3], L \leftarrow [1|S_2]\} \{X_3 \leftarrow 2, A \leftarrow 2, T_3 \leftarrow [3], S_2 \leftarrow [3]\}$   
 $= \{X_2 \leftarrow 2, Y_2 \leftarrow 1, T_2 \leftarrow [2,3], L \leftarrow [1,3], X_3 \leftarrow 2, A \leftarrow 2, T_3 \leftarrow [3], S_2 \leftarrow [3]\}$

$\theta_2\theta_4\theta_5 = \{X_2 \leftarrow A, Y_2 \leftarrow 1, T_2 \leftarrow [2,3], L \leftarrow [1|S_2]\} \{X_4 \leftarrow A, Y_4 \leftarrow 2, T_4 \leftarrow [3], S_2 \leftarrow [2|S_4]\} \{X_5 \leftarrow 3, A \leftarrow 3, T_5 \leftarrow [], S_4 \leftarrow []\}$   
 $= \{X_2 \leftarrow 3, Y_2 \leftarrow 1, T_2 \leftarrow [2,3], L \leftarrow [1,2], X_4 \leftarrow 3, Y_4 \leftarrow 2, T_4 \leftarrow [3], S_2 \leftarrow [2], X_5 \leftarrow 3, A \leftarrow 3, T_5 \leftarrow [], S_4 \leftarrow []\}$